# Introduction to Statistical Machine Translation

Philipp Koehn 28 November 2008





## **Topics**

- Introduction
- Word-based models and the EM algorithm
- Decoding
- Phrase-based models
- Open source: Moses
- Syntax-based statistical MT
- Factored models
- Large-Scale discriminative training



#### Machine translation

Task: translate this into English

#### 毒品

本册子爲家艮們提供實際和有用的關于毒品的信息,包括如何減少使用非法毒品的危險. 它有助於您和您的家人討論有關毒品的問題. 這本小册子的主要内容已錄在磁帶上,如果您 想索取一盒免費的磁帶(中文), 前在下面的

- One of the oldest problems in Artificial Intelligence
- Al-hard: reasoning and world knowledge required



## The Rosetta stone



- Egyptian language was a mystery for centuries
- 1799 a stone with Egyptian text and its translation into Greek was found
- ⇒ Humans *could learn* how to translated Egyptian



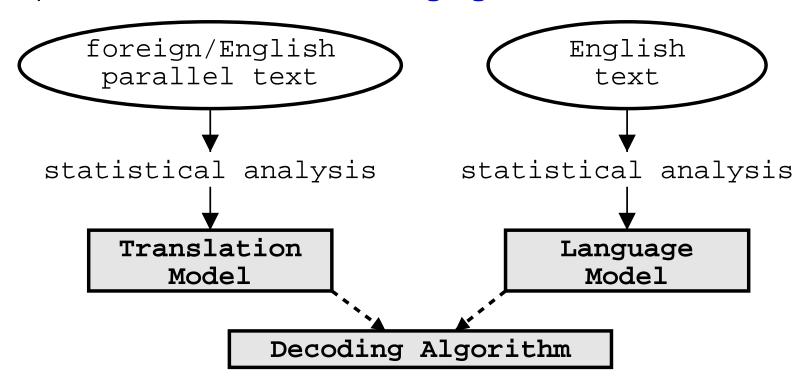
#### Parallel data

- Lots of translated text available: 100s of million words of translated text for some language pairs
  - a book has a few 100,000s words
  - an educated person may read 10,000 words a day
  - $\rightarrow$  3.5 million words a year
  - → 300 million a lifetime
  - → soon computers will be able to see more translated text than humans read in a lifetime
- ⇒ Machine *can learn* how to translated foreign languages



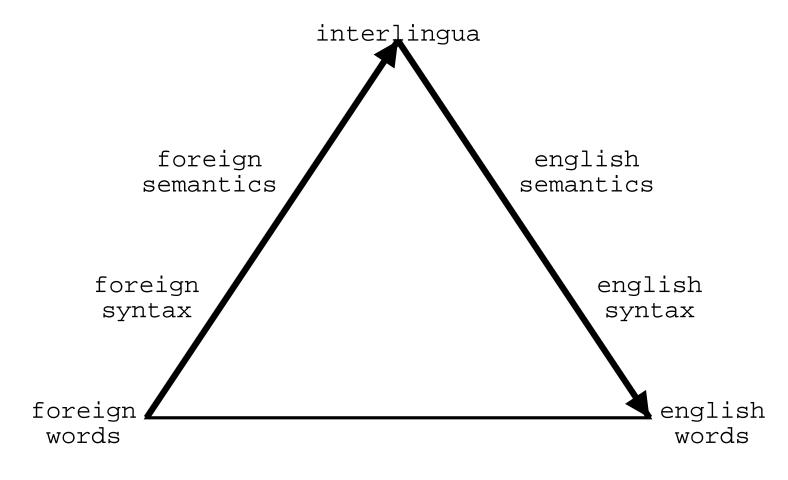
#### Statistical machine translation

• Components: Translation model, language model, decoder



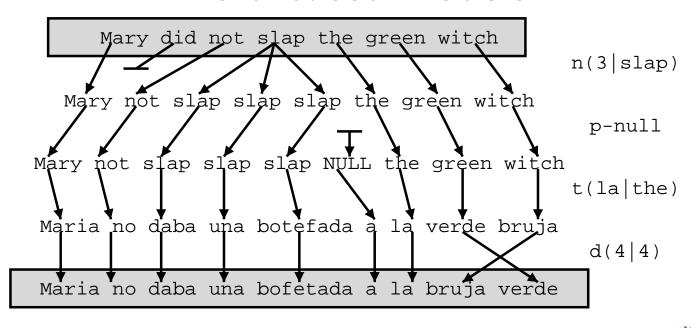


## The machine translation pyramid





#### Word-based models

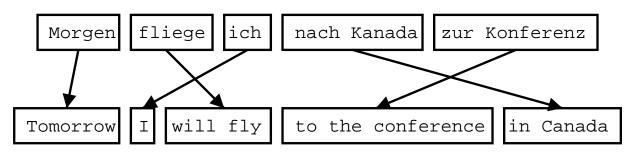


[from Knight, 1997]

- Translation process is decomposed into smaller steps, each is tied to words
- Original models for statistical machine translation [Brown et al., 1993]



#### Phrase-based models

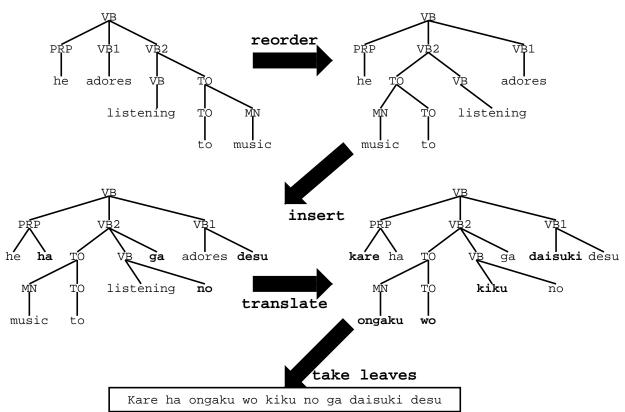


[from Koehn et al., 2003, NAACL]

- Foreign input is segmented in phrases
  - any sequence of words, not necessarily linguistically motivated
- Each phrase is translated into English
- Phrases are reordered



## Syntax-based models



[from Yamada and Knight, 2001]



#### **Automatic evaluation**

- Why automatic evaluation metrics?
  - Manual evaluation is too slow
  - Evaluation on large test sets reveals minor improvements
  - Automatic tuning to improve machine translation performance
- History
  - Word Error Rate
  - BLEU since 2002
- BLEU in short: Overlap with reference translations

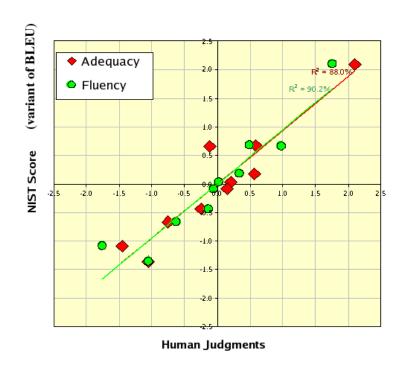


#### **Automatic evaluation**

- Reference Translation
  - the gunman was shot to death by the police .
- System Translations
  - the gunman was police kill.
  - wounded police jaya of
  - the gunman was shot dead by the police.
  - the gunman arrested by police kill.
  - the gunmen were killed.
  - the gunman was shot to death by the police.
  - gunmen were killed by police ?SUB>0 ?SUB>0
  - al by the police .
  - the ringer is killed by the police .
  - police killed the gunman .
- Matches
  - green = 4 gram match (good!)
  - red = word not matched (bad!)



#### **Automatic evaluation**

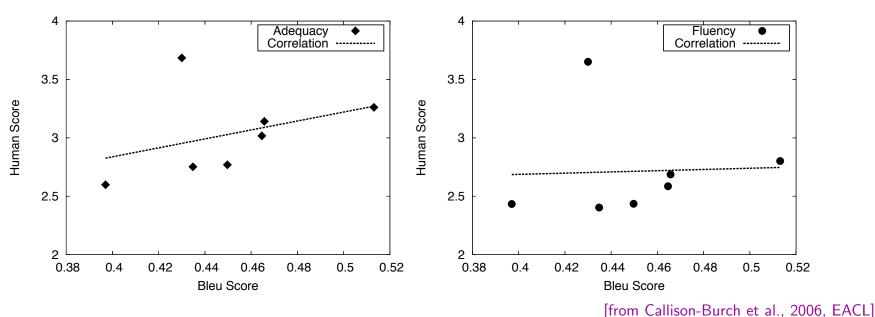


[from George Doddington, NIST]

- BLEU correlates with human judgement
  - multiple reference translations may be used

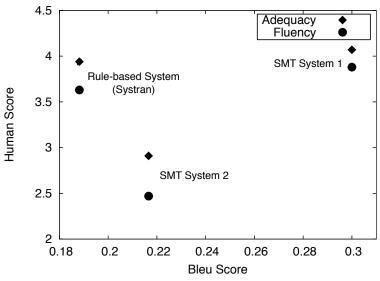


# Correlation? [Callison-Burch et al., 2006



- DARPA/NIST MT Eval 2005
  - Mostly statistical systems (all but one in graphs)
  - One submission manual post-edit of statistical system's output
  - → Good adequacy/fluency scores *not reflected* by BLEU

Correlation? [Callison-Burch et al., 2006]



#### • Comparison of

[from Callison-Burch et al., 2006, EACL]

- good statistical system: high BLEU, high adequacy/fluency
- bad statistical sys. (trained on less data): low BLEU, low adequacy/fluency
- Systran: lowest BLEU score, but high adequacy/fluency



#### **Automatic evaluation: outlook**

- Research questions
  - why does BLEU fail Systran and manual post-edits?
  - how can this overcome with novel evaluation metrics?
- Future of automatic methods
  - automatic metrics too useful to be abandoned
  - evidence still supports that during system development, a better BLEU indicates a better system
  - final assessment has to be human judgement



## Competitions

- Progress driven by MT Competitions
  - NIST/DARPA: Yearly campaigns for Arabic-English, Chinese-English, newstexts, since 2001
  - IWSLT: Yearly competitions for Asian languages and Arabic into English,
     speech travel domain, since 2003
  - WPT/WMT: Yearly competitions for European languages, European Parliament proceedings, since 2005
- Increasing number of statistical MT groups participate



#### **Euromatrix**

- Proceedings of the European Parliament
  - translated into 11 official languages
  - entry of new members in May 2004: more to come...
- Europarl corpus
  - collected 20-30 million words per language
  - → 110 language pairs
- 110 Translation systems
  - 3 weeks on 16-node cluster computer
  - → 110 translation systems



# Quality of translation systems

• *Scores* for all 110 systems http://www.statmt.org/matrix/

	da	de	el	en	es	fr	fi	it	nl	pt	SV
da	-	18.4	21.1	28.5	26.4	28.7	14.2	22.2	21.4	24.3	28.3
de	22.3	_	20.7	25.3	25.4	27.7	11.8	21.3	23.4	23.2	20.5
el	22.7	17.4	-	27.2	31.2	32.1	11.4	26.8	20.0	27.6	21.2
en	25.2	17.6	23.2	_	30.1	31.1	13.0	25.3	21.0	27.1	24.8
es	24.1	18.2	28.3	30.5	-	40.2	12.5	32.3	21.4	35.9	23.9
fr	23.7	18.5	26.1	30.0	38.4	_	12.6	32.4	21.1	35.3	22.6
fi	20.0	14.5	18.2	21.8	21.1	22.4	-	18.3	17.0	19.1	18.8
it	21.4	16.9	24.8	27.8	34.0	36.0	11.0	-	20.0	31.2	20.2
nl	20.5	18.3	17.4	23.0	22.9	24.6	10.3	20.0	-	20.7	19.0
pt	23.2	18.2	26.4	30.1	37.9	39.0	11.9	32.0	20.2	_	21.9
SV	30.3	18.9	22.8	30.2	28.6	29.7	15.3	23.9	21.9	25.9	-

[from Koehn, 2005: Europarl]



#### What makes MT difficult?

- Some language pairs more difficult than others
- Birch et al [EMNLP 2008] showed 75% of the differences in BLEU scores due to
  - morphology on target side (vocabulary size)
  - historic distance of languages (cognate ratio)
  - degree of reordering requited
- Not a factor: morphology on source
  - note: Arabic-English fairly good, despite rich morphology in Arabic

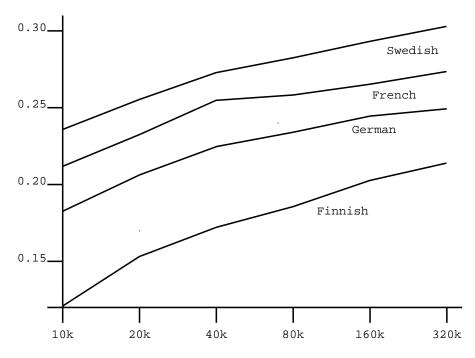


#### Available data

- Available *parallel text* 
  - Europarl: 40 million words in 11 languages http://www.statmt.org/europarl/
  - Acquis Communitaire: 8-50 million words in 20 EU languages
  - Canadian Hansards: 20 million words from Ulrich Germann, ISI
  - Chinese/Arabic to English: over 100 million words from LDC
  - lots more French/English, Spanish/French/English from LDC
- Available monolingual text (for language modeling)
  - 2.8 billion words of English from LDC
  - trillions of words on the web



## More data, better translations



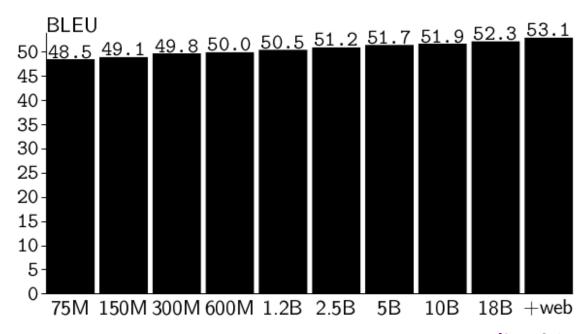
[from Koehn, 2003: Europarl]

• Log-scale improvements on BLEU:

Doubling the training data gives constant improvement (+1 %BLEU)



## More LM data, better translations



[from Och, 2005: MT Eval presentation]

• Also log-scale improvements on BLEU: doubling the training data gives constant improvement (+0.5 %BLEU) (last addition is 218 billion words out-of-domain web data)

# Word-based models and the EM algorithm



#### **Lexical translation**

How to translate a word → look up in dictionary

**Haus** — house, building, home, household, shell.

- Multiple translations
  - some more frequent than others
  - for instance: *house*, and *building* most common
  - special cases: *Haus* of a *snail* is its *shell*
- Note: During all the lectures, we will translate from a foreign language into English



## **Collect statistics**

• Look at a parallel corpus (German text along with English translation)

Translation of Haus	Count
house	8,000
building	1,600
home	200
household	150
shell	50



## Estimate translation probabilities

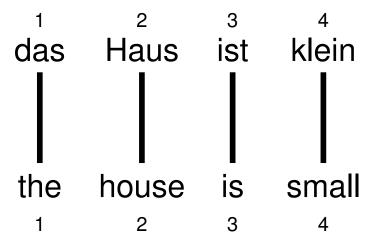
Maximum likelihood estimation

$$p_f(e) = \begin{cases} 0.8 & \text{if } e = \textit{house}, \\ 0.16 & \text{if } e = \textit{building}, \\ 0.02 & \text{if } e = \textit{home}, \\ 0.015 & \text{if } e = \textit{household}, \\ 0.005 & \text{if } e = \textit{shell}. \end{cases}$$



## **Alignment**

• In a parallel text (or when we translate), we align words in one language with the words in the other



• Word *positions* are numbered 1–4



## **Alignment function**

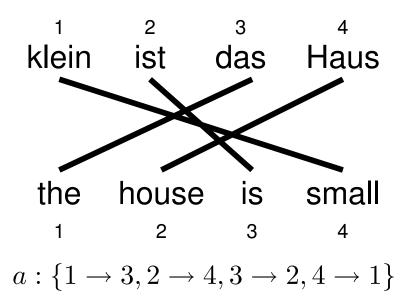
- Formalizing *alignment* with an *alignment* function
- ullet Mapping an English target word at position i to a German source word at position j with a function  $a:i \to j$
- Example

$$a: \{1 \to 1, 2 \to 2, 3 \to 3, 4 \to 4\}$$



## Reordering

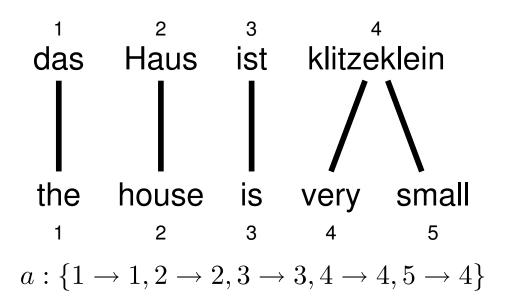
• Words may be **reordered** during translation





# One-to-many translation

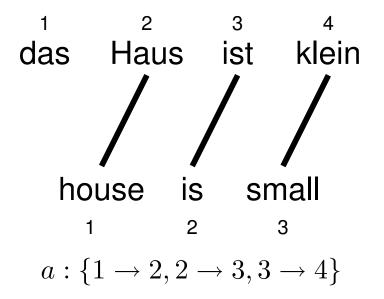
• A source word may translate into multiple target words





## **Dropping words**

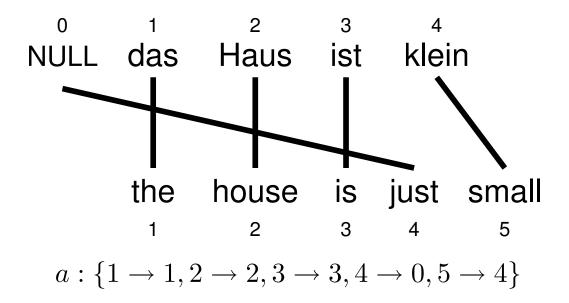
- Words may be dropped when translated
  - The German article das is dropped





## **Inserting words**

- Words may be added during translation
  - The English just does not have an equivalent in German
  - We still need to map it to something: special NULL token





#### IBM Model 1

- Generative model: break up translation process into smaller steps
  - IBM Model 1 only uses lexical translation
- Translation probability
  - for a foreign sentence  $\mathbf{f} = (f_1, ..., f_{l_f})$  of length  $l_f$
  - to an English sentence  $\mathbf{e}=(e_1,...,\dot{e}_{l_e})$  of length  $l_e$
  - with an alignment of each English word  $e_j$  to a foreign word  $f_i$  according to the alignment function  $a:j\to i$

$$p(\mathbf{e}, a|\mathbf{f}) = \frac{\epsilon}{(l_f + 1)^{l_e}} \prod_{j=1}^{l_e} t(e_j|f_{a(j)})$$

- parameter  $\epsilon$  is a *normalization constant* 

## **Example**

#### das

e	t(e f)		
the	0.7		
that	0.15		
which	0.075		
who	0.05		
this	0.025		

#### Haus

e	t(e f)
house	8.0
building	0.16
home	0.02
household	0.015
shell	0.005

#### ist

e	t(e f)		
is	8.0		
's	0.16		
exists	0.02		
has	0.015		
are	0.005		

#### klein

e	t(e f)	
small	0.4	
little	0.4	
short	0.1	
minor	0.06	
petty	0.04	

$$\begin{split} p(e,a|f) &= \frac{\epsilon}{4^3} \times t(\text{the}|\text{das}) \times t(\text{house}|\text{Haus}) \times t(\text{is}|\text{ist}) \times t(\text{small}|\text{klein}) \\ &= \frac{\epsilon}{4^3} \times 0.7 \times 0.8 \times 0.8 \times 0.4 \\ &= 0.0028 \epsilon \end{split}$$



## Learning lexical translation models

- ullet We would like to *estimate* the lexical translation probabilities t(e|f) from a parallel corpus
- ... but we do not have the alignments
- Chicken and egg problem
  - if we had the *alignments*,
    - → we could estimate the *parameters* of our generative model
  - if we had the *parameters*,
    - → we could estimate the *alignments*



#### • Incomplete data

- if we had *complete data*, would could estimate *model*
- if we had *model*, we could fill in the *gaps in the data*
- Expectation Maximization (EM) in a nutshell
  - initialize model parameters (e.g. uniform)
  - assign probabilities to the missing data
  - estimate model parameters from completed data
  - iterate



... la maison ... la maison blue ... la fleur ...

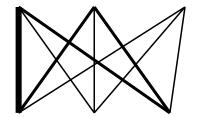
... the house ... the blue house ... the flower ...

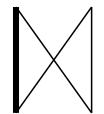
- Initial step: all alignments equally likely
- Model learns that, e.g., *la* is often aligned with *the*



... la maison ... la maison blue ... la fleur ...







... the house ... the blue house ... the flower ...

- After one iteration
- Alignments, e.g., between *la* and *the* are more likely



... la maison ... la maison bleu ... la fleur ...

the house ... the blue house ... the flower ...

- After another iteration
- It becomes apparent that alignments, e.g., between *fleur* and *flower* are more likely (pigeon hole principle)



- Convergence
- Inherent hidden structure revealed by EM



Parameter estimation from the aligned corpus



#### IBM Model 1 and EM

- EM Algorithm consists of two steps
- Expectation-Step: Apply model to the data
  - parts of the model are hidden (here: alignments)
  - using the model, assign probabilities to possible values
- Maximization-Step: Estimate model from data
  - take assign values as fact
  - collect counts (weighted by probabilities)
  - estimate model from counts
- Iterate these steps until convergence



#### IBM Model 1 and EM

- We need to be able to compute:
  - Expectation-Step: probability of alignments
  - Maximization-Step: count collection



#### IBM Model 1 and EM

Probabilities

$$p(\mathsf{the}|\mathsf{la}) = 0.7$$
  $p(\mathsf{house}|\mathsf{la}) = 0.05$   $p(\mathsf{the}|\mathsf{maison}) = 0.1$   $p(\mathsf{house}|\mathsf{maison}) = 0.8$ 

#### Alignments

la • the maison house 
$$p(\mathbf{e}, a|\mathbf{f}) = 0.56$$
  $p(\mathbf{e}, a|\mathbf{f}) = 0.035$   $p(\mathbf{e}, a|\mathbf{f}) = 0.08$   $p(\mathbf{e}, a|\mathbf{f}) = 0.005$   $p(a|\mathbf{e}, \mathbf{f}) = 0.08$   $p(a|\mathbf{e}, \mathbf{f}) = 0.005$   $p(a|\mathbf{e}, \mathbf{f}) = 0.007$ 

Counts

$$c(\mathsf{the}|\mathsf{la}) = 0.824 + 0.052 \qquad c(\mathsf{house}|\mathsf{la}) = 0.052 + 0.007 \\ c(\mathsf{the}|\mathsf{maison}) = 0.118 + 0.007 \qquad c(\mathsf{house}|\mathsf{maison}) = 0.824 + 0.118$$

$$c(\mathsf{house}|\mathsf{Ia}) = 0.052 \pm 0.007$$
  $c(\mathsf{house}|\mathsf{maison}) = 0.824 \pm 0.118$ 



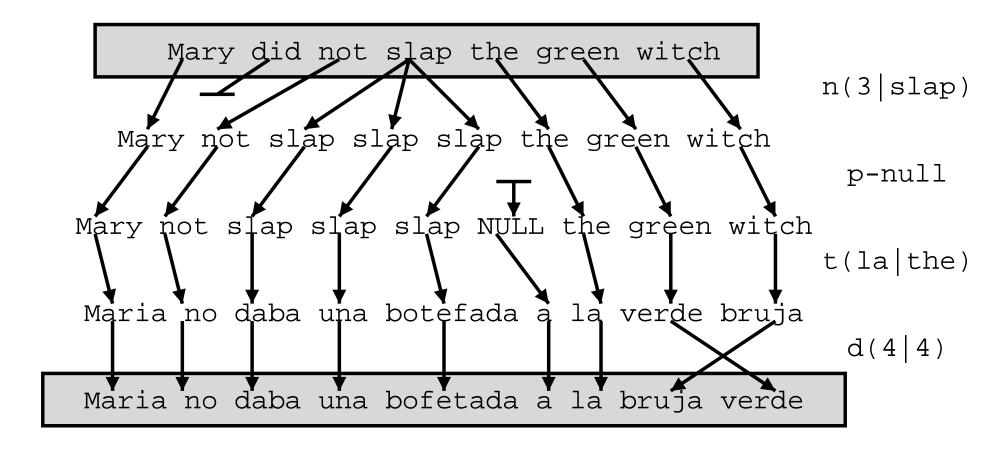
# **Higher IBM Models**

IBM Model 1	lexical translation
IBM Model 2	adds absolute reordering model
IBM Model 3	adds fertility model
IBM Model 4	relative reordering model
IBM Model 5	fixes deficiency

- Only IBM Model 1 has *global maximum* 
  - training of a higher IBM model builds on previous model
- Computationally biggest change in Model 3
  - trick to simplify estimation does not work anymore
  - → exhaustive count collection becomes computationally too expensive
  - sampling over high probability alignments is used instead



#### **IBM Model 4**



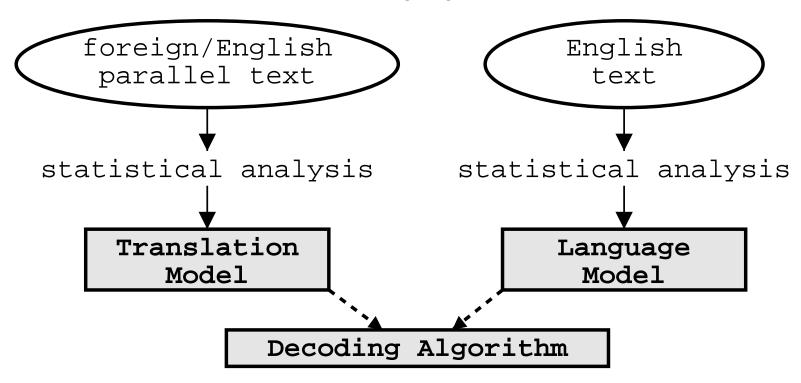


# **Decoding**



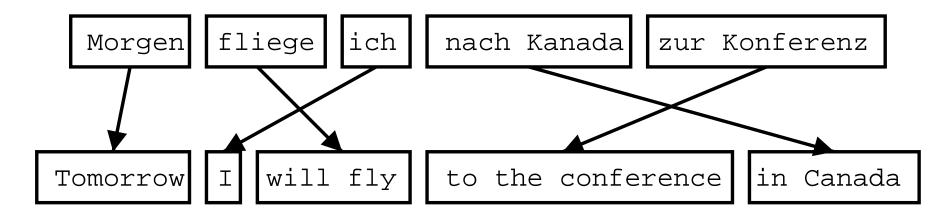
## **Statistical Machine Translation**

• Components: Translation model, language model, decoder





#### **Phrase-Based Translation**



- Foreign input is segmented in phrases
  - any sequence of words, not necessarily linguistically motivated
- Each phrase is translated into English
- Phrases are reordered



## **Phrase Translation Table**

• Phrase Translations for "den Vorschlag":

English	$\phi$ (e f)	English	$\phi$ (e f)
the proposal	0.6227	the suggestions	0.0114
's proposal	0.1068	the proposed	0.0114
a proposal	0.0341	the motion	0.0091
the idea	0.0250	the idea of	0.0091
this proposal	0.0227	the proposal ,	0.0068
proposal	0.0205	its proposal	0.0068
of the proposal	0.0159	it	0.0068
the proposals	0.0159		



Maria	no	dio	una	bofetada	a	la	bruja	verde
-------	----	-----	-----	----------	---	----	-------	-------

- Build translation left to right
  - select foreign words to be translated





- Build translation left to right
  - select foreign words to be translated
  - find English phrase translation
  - add English phrase to end of partial translation

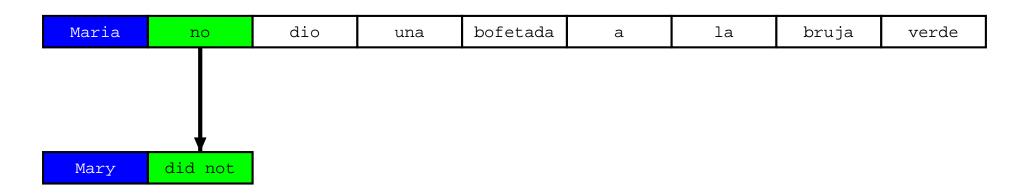


Maria	no	dio	una	bofetada	a	la	bruja	verde
-------	----	-----	-----	----------	---	----	-------	-------

#### Mary

- Build translation left to right
  - select foreign words to be translated
  - find English phrase translation
  - add English phrase to end of partial translation
  - mark foreign words as translated





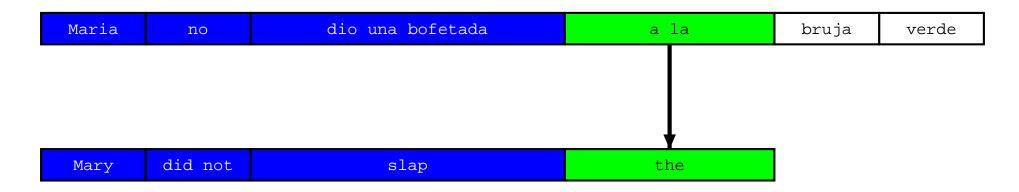
• One to many translation





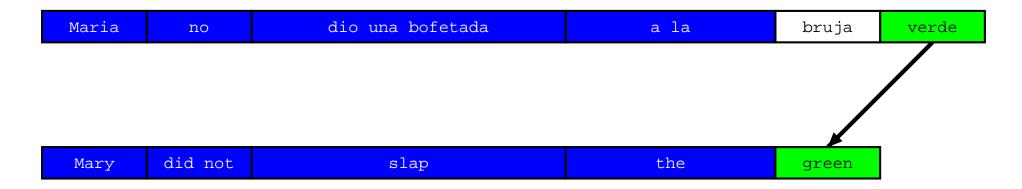
Many to one translation





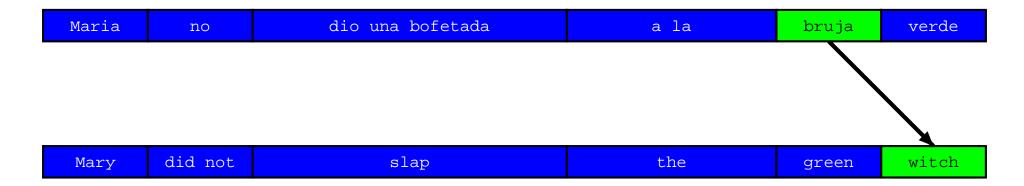
• *Many to one* translation





• Reordering

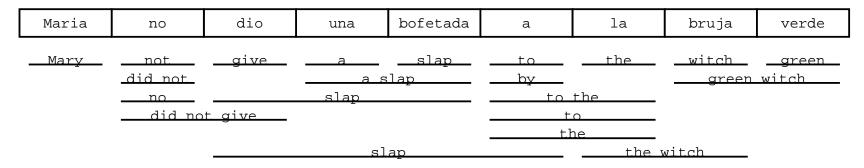




• Translation *finished* 



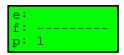
## **Translation Options**



- Look up *possible phrase translations* 
  - many different ways to *segment* words into phrases
  - many different ways to *translate* each phrase



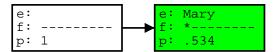
Maria	no	dio	una	bofetada	a	la	bruja	verde
Mary	not	give	aslap		t.o	<u>the</u>	<u>witch</u> green	<u>green</u> witch
	<u>no</u> did no	slap				the	J	
	<u> </u>	<u> </u>				ne		
	slap					the t	witch	



- Start with empty hypothesis
  - e: no English words
  - f: no foreign words covered
  - p: probability 1



Maria	no	dio	una	bofetada	a	la	bruja	verde
Mary	not did_not_	give	aslap a_slap		toby	<u>the</u>	witch green	<u>green</u> witch
	<u>no</u> did no	slap t_give			t.ot.	the o		
		slap			t.h	nethe_v	witch	



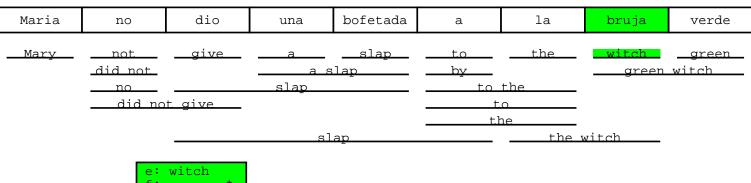
- Pick translation option
- Create *hypothesis* 
  - e: add English phrase Mary
  - f: first foreign word covered
  - p: probability 0.534

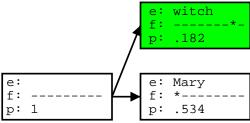


# A Quick Word on Probabilities

- Not going into detail here, but...
- Translation Model
  - phrase translation probability p(Mary|Maria)
  - reordering costs
  - phrase/word count costs
  - **–** ...
- Language Model
  - uses trigrams:
  - $p(Mary did not) = p(Mary|START) \times p(did|Mary,START) \times p(not|Mary did)$

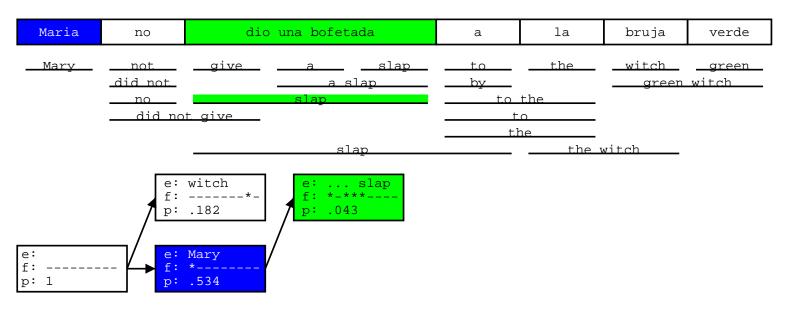






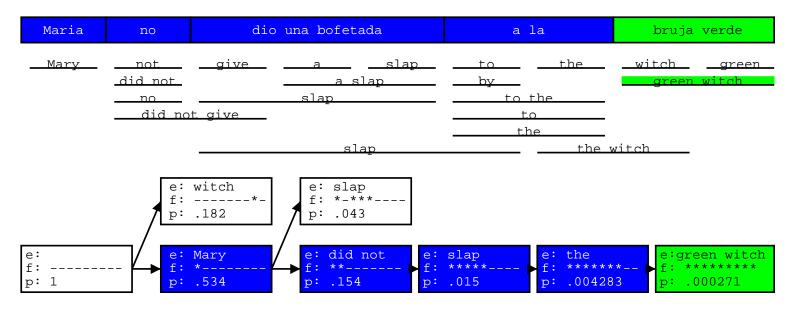
• Add another *hypothesis* 





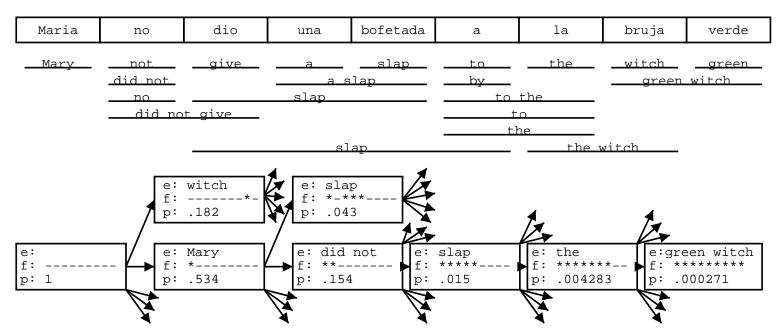
• Further *hypothesis expansion* 





- ... until all foreign words covered
  - find best hypothesis that covers all foreign words
  - backtrack to read off translation





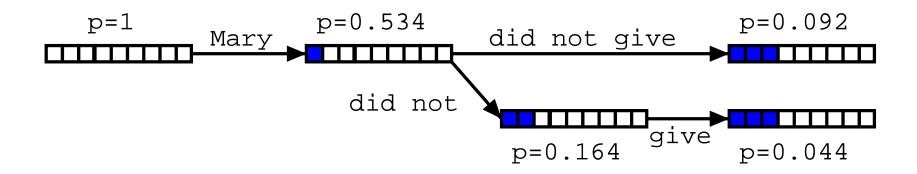
- Adding more hypothesis
- ⇒ *Explosion* of search space



# **Explosion of Search Space**

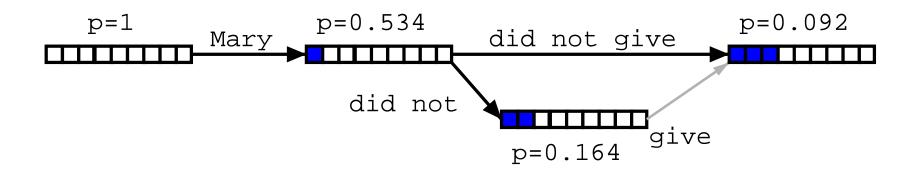
- Number of hypotheses is *exponential* with respect to sentence length
- ⇒ Decoding is NP-complete [Knight, 1999]
- ⇒ Need to reduce search space
  - risk free: hypothesis recombination
  - risky: histogram/threshold pruning





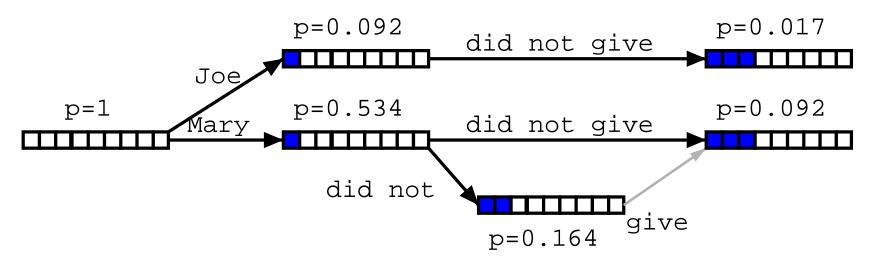
• Different paths to the *same* partial translation





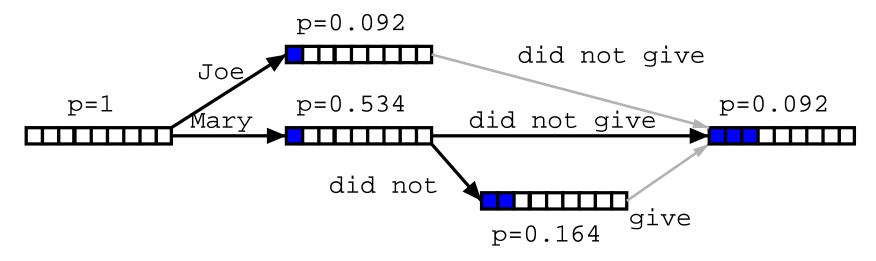
- Different paths to the same partial translation
- *⇒* Combine paths
  - drop weaker path
  - keep pointer from weaker path (for lattice generation)





- Recombined hypotheses do *not* have to *match completely*
- No matter what is added, weaker path can be dropped, if:
  - last two English words match (matters for language model)
  - foreign word coverage vectors match (effects future path)





- Recombined hypotheses do not have to match completely
- No matter what is added, weaker path can be dropped, if:
  - last two English words match (matters for language model)
  - foreign word coverage vectors match (effects future path)
- *⇒* Combine paths

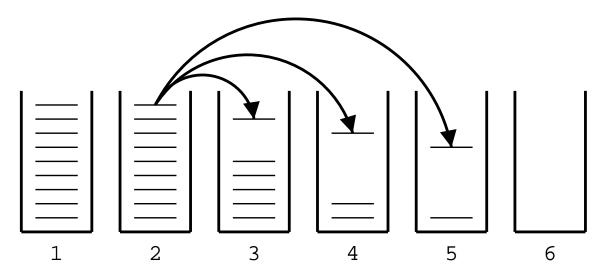


## **Pruning**

- Hypothesis recombination is not sufficient
- ⇒ Heuristically discard weak hypotheses early
  - Organize Hypothesis in **stacks**, e.g. by
    - same foreign words covered
    - same number of foreign words covered
    - same number of English words produced
  - Compare hypotheses in stacks, discard bad ones
    - histogram pruning: keep top n hypotheses in each stack (e.g., n=100)
    - threshold pruning: keep hypotheses that are at most  $\alpha$  times the cost of best hypothesis in stack (e.g.,  $\alpha = 0.001$ )



### **Hypothesis Stacks**



- Organization of hypothesis into stacks
  - here: based on number of foreign words translated
  - during translation all hypotheses from one stack are expanded
  - expanded Hypotheses are placed into stacks



### **Comparing Hypotheses**

Comparing hypotheses with same number of foreign words covered

e: Mary did not
f: \*\*---p: 0.154

better
partial
translation

dio una bofetada a la bruja verde

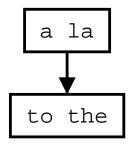
e: the
f: ----\*\*-p: 0.354

covers
easier part
--> lower cost

- Hypothesis that covers *easy part* of sentence is preferred
- ⇒ Need to consider future cost of uncovered parts



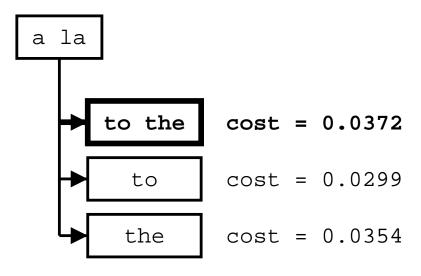
#### **Future Cost Estimation**



- Estimate cost to translate remaining part of input
- Step 1: estimate future cost for each translation option
  - look up translation model cost
  - estimate language model cost (no prior context)
  - ignore reordering model cost
  - $\rightarrow$  LM \* TM = p(to) \* p(the|to) \* p(to the|a la)



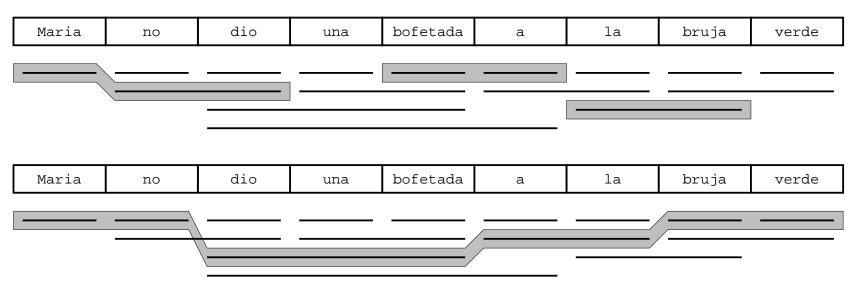
## **Future Cost Estimation: Step 2**



• Step 2: find *cheapest cost* among translation options

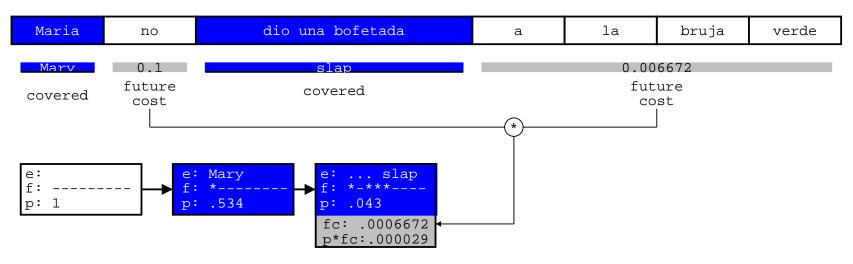


### **Future Cost Estimation: Step 3**



- Step 3: find *cheapest future cost path* for each span
  - can be done *efficiently* by dynamic programming
  - future cost for every span can be pre-computed





- Use future cost estimates when *pruning* hypotheses
- For each uncovered contiguous span:
  - look up future costs for each maximal contiguous uncovered span
  - add to actually accumulated cost for translation option for pruning

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### A\* search

- Pruning might drop hypothesis that lead to the best path (search error)
- A\* search: safe pruning
  - future cost estimates have to be accurate or underestimates
  - lower bound for probability is established early by
     depth first search: compute cost for one complete translation
  - if cost-so-far and future cost are worse than *lower bound*, hypothesis can be safely discarded
- Not commonly done, since not aggressive enough

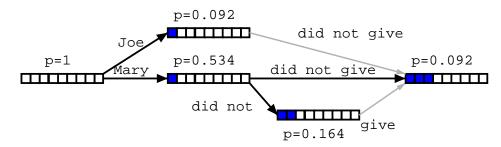


### **Limits on Reordering**

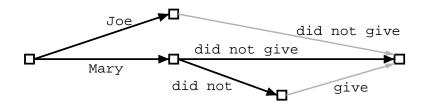
- Reordering may be limited
  - Monotone Translation: No reordering at all
  - Only phrase movements of at most n words
- Reordering limits speed up search (polynomial instead of exponential)
- Current reordering models are weak, so limits *improve* translation quality



### **Word Lattice Generation**



- Search graph can be easily converted into a word lattice
  - can be further mined for n-best lists
  - → enables **reranking** approaches
  - → enables discriminative training





### Sample N-Best List

#### • Simple N-best list:

Translation | | | Reordering LM TM WordPenalty | | | Score this is a small house | | 0 -27.0908 -1.83258 -5 | | 1 -28.9234 this is a little house | | | 0 -28.1791 -1.83258 -5 | | | -30.0117 it is a small house | | 0 -27.108 -3.21888 -5 | | 1 -30.3268 it is a little house | | 0 -28.1963 -3.21888 -5 | | | -31.4152 this is an small house | | 0 -31.7294 -1.83258 -5 | | 33.562 it is an small house | | 0 -32.3094 -3.21888 -5 | | | -35.5283 this is an little house || 0 -33.7639 -1.83258 -5 || -35.5965 this is a house small | | | -3 -31.4851 -1.83258 -5 | | | -36.3176 this is a house little || -3 -31.5689 -1.83258 -5 || -36.4015 it is an little house | | 0 -34.3439 -3.21888 -5 | | 1 -37.5628 it is a house small | | | -3 -31.5022 -3.21888 -5 | | | -37.7211 this is an house small | | | -3 -32.8999 -1.83258 -5 | | | -37.7325 it is a house little | | | -3 -31.586 -3.21888 -5 | | | -37.8049 this is an house little | | 3 -32.9837 -1.83258 -5 | | 37.8163 the house is a little ||| -7 -28.5107 -2.52573 -5 ||| -38.0364 the is a small house | | 0 -35.6899 -2.52573 -5 | | | -38.2156 is it a little house | | | -4 -30.3603 -3.91202 -5 the house is a small | | | -7 -28.7683 -2.52573 -5 | | | -38.294 it 's a small house | | 0 -34.8557 -3.91202 -5 | | 1 -38.7677 this house is a little ||| -7 -28.0443 -3.91202 -5 ||| -38.9563 it 's a little house | | | 0 -35.1446 -3.91202 -5 | | | -39.0566 this house is a small | | | -7 -28.3018 -3.91202 -5 | | | -39.2139

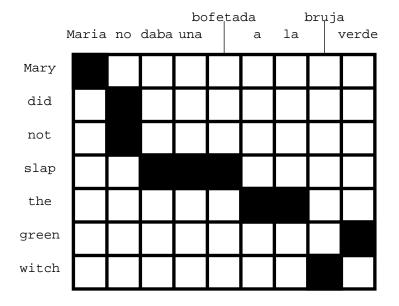


### Phrase-based models



### Word alignment

- Notion of word alignment valuable
- Shared task at NAACL 2003 and ACL 2005 workshops



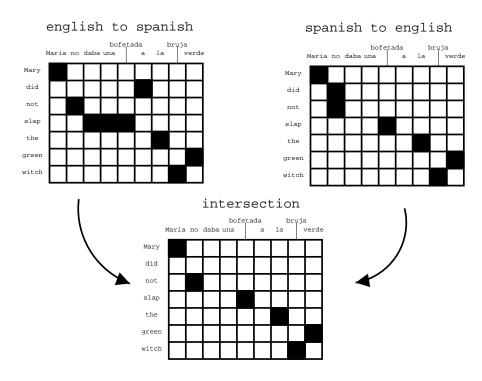


## Word alignment with IBM models

- IBM Models create a *many-to-one* mapping
  - words are aligned using an alignment function
  - a function may return the same value for different input (one-to-many mapping)
  - a function can not return multiple values for one input (no many-to-one mapping)
- But we need *many-to-many* mappings



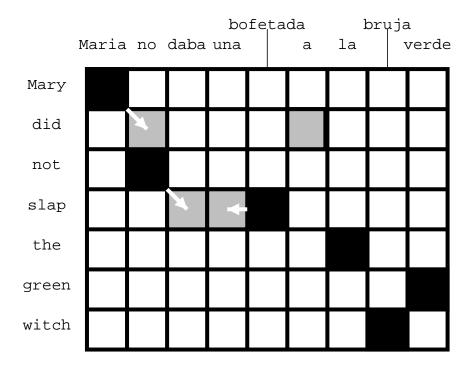
# Symmetrizing word alignments



• *Intersection* of GIZA++ bidirectional alignments



# Symmetrizing word alignments



• Grow additional alignment points [Och and Ney, CompLing2003]

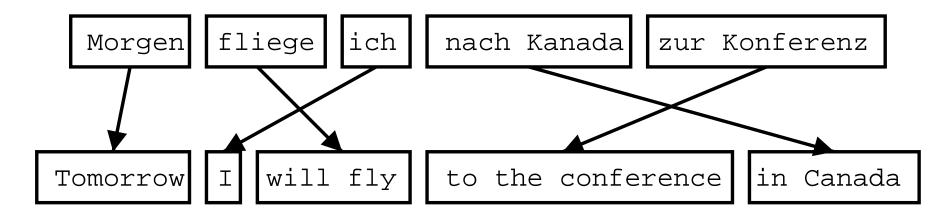


### **Growing heuristic**

```
GROW-DIAG-FINAL(e2f,f2e):
  neighboring = ((-1,0),(0,-1),(1,0),(0,1),(-1,-1),(-1,1),(1,-1),(1,1))
  alignment = intersect(e2f,f2e);
  GROW-DIAG(); FINAL(e2f); FINAL(f2e);
GROW-DIAG():
  iterate until no new points added
    for english word e = 0 \dots en
      for foreign word f = 0 \dots fn
        if ( e aligned with f )
          for each neighboring point (e-new, f-new):
            if ( ( e-new not aligned and f-new not aligned ) and
                 (e-new, f-new) in union(e2f, f2e))
              add alignment point (e-new, f-new)
FINAL(a):
  for english word e-new = 0 ... en
    for foreign word f-new = 0 ... fn
      if ( (e-new not aligned or f-new not aligned ) and
           ( e-new, f-new ) in alignment a )
        add alignment point (e-new, f-new)
```



### Phrase-based translation



- Foreign input is segmented in phrases
  - any sequence of words, not necessarily linguistically motivated
- Each phrase is translated into English
- Phrases are reordered



### Phrase-based translation model

- Major components of phrase-based model
  - phrase translation model  $\phi(\mathbf{f}|\mathbf{e})$
  - reordering model  $\omega^{\text{length}(\mathbf{e})}$
  - language model  $p_{\rm LM}(\mathbf{e})$
- Bayes rule

$$\begin{split} \mathrm{argmax}_{\mathbf{e}} p(\mathbf{e}|\mathbf{f}) &= \mathrm{argmax}_{\mathbf{e}} p(\mathbf{f}|\mathbf{e}) p(\mathbf{e}) \\ &= \mathrm{argmax}_{\mathbf{e}} \phi(\mathbf{f}|\mathbf{e}) p_{\mathrm{LM}}(\mathbf{e}) \omega^{\mathsf{length}(\mathbf{e})} \end{split}$$

- ullet Sentence  ${f f}$  is decomposed into I phrases  $ar f_1^I=ar f_1,...,ar f_I$
- Decomposition of  $\phi(\mathbf{f}|\mathbf{e})$

$$\phi(\bar{f}_1^I | \bar{e}_1^I) = \prod_{i=1}^I \phi(\bar{f}_i | \bar{e}_i) d(a_i - b_{i-1})$$



# Advantages of phrase-based translation

- Many-to-many translation can handle non-compositional phrases
- Use of *local context* in translation
- The more data, the *longer phrases* can be learned



### Phrase translation table

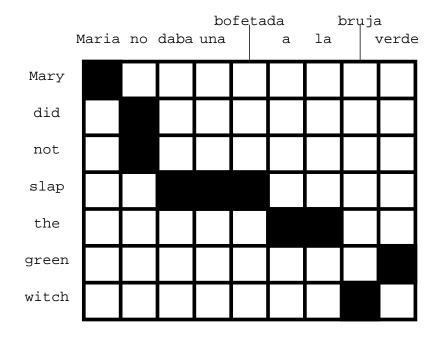
• Phrase translations for *den Vorschlag* 

English	$\phi$ (e f)	English	$\phi$ (e f)
the proposal	0.6227	the suggestions	0.0114
's proposal	0.1068	the proposed	0.0114
a proposal	0.0341	the motion	0.0091
the idea	0.0250	the idea of	0.0091
this proposal	0.0227	the proposal ,	0.0068
proposal	0.0205	its proposal	0.0068
of the proposal	0.0159	it	0.0068
the proposals	0.0159		



## How to learn the phrase translation table?

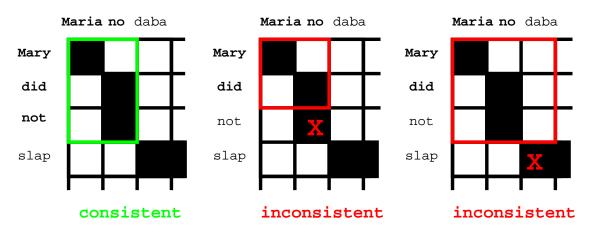
• Start with the *word alignment*:



Collect all phrase pairs that are consistent with the word alignment



### Consistent with word alignment



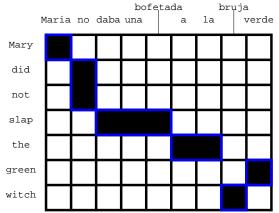
Consistent with the word alignment :=

phrase alignment has to contain all alignment points for all covered words

$$(\overline{e}, \overline{f}) \in BP \Leftrightarrow \qquad \forall e_i \in \overline{e} : (e_i, f_j) \in A \to f_j \in \overline{f}$$

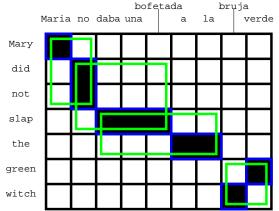
$$AND \quad \forall f_j \in \overline{f} : (e_i, f_j) \in A \to e_i \in \overline{e}$$





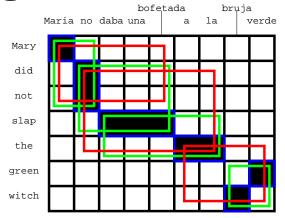
(Maria, Mary), (no, did not), (slap, daba una bofetada), (a la, the), (bruja, witch), (verde, green)





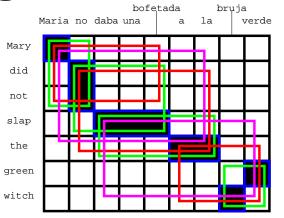
(Maria, Mary), (no, did not), (slap, daba una bofetada), (a la, the), (bruja, witch), (verde, green), (Maria no, Mary did not), (no daba una bofetada, did not slap), (daba una bofetada a la, slap the), (bruja verde, green witch)





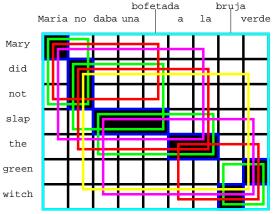
(Maria, Mary), (no, did not), (slap, daba una bofetada), (a la, the), (bruja, witch), (verde, green), (Maria no, Mary did not), (no daba una bofetada, did not slap), (daba una bofetada a la, slap the), (bruja verde, green witch), (Maria no daba una bofetada, Mary did not slap), (no daba una bofetada a la, did not slap the), (a la bruja verde, the green witch)





(Maria, Mary), (no, did not), (slap, daba una bofetada), (a la, the), (bruja, witch), (verde, green), (Maria no, Mary did not), (no daba una bofetada, did not slap), (daba una bofetada a la, slap the), (bruja verde, green witch), (Maria no daba una bofetada, Mary did not slap), (no daba una bofetada a la, did not slap the), (a la bruja verde, the green witch), (Maria no daba una bofetada a la, Mary did not slap the), (daba una bofetada a la bruja verde, slap the green witch)





(Maria, Mary), (no, did not), (slap, daba una bofetada), (a la, the), (bruja, witch), (verde, green), (Maria no, Mary did not), (no daba una bofetada, did not slap), (daba una bofetada a la, slap the), (bruja verde, green witch), (Maria no daba una bofetada, Mary did not slap), (no daba una bofetada a la, did not slap the), (a la bruja verde, the green witch), (Maria no daba una bofetada a la, Mary did not slap the), (daba una bofetada a la bruja verde, slap the green witch), (no daba una bofetada a la bruja verde, did not slap the green witch), (Maria no daba una bofetada a la bruja verde, Mary did not slap the green witch)



## Probability distribution of phrase pairs

- ullet We need a **probability distribution**  $\phi(\overline{f}|\overline{e})$  over the collected phrase pairs
- ⇒ Possible *choices* 
  - relative frequency of collected phrases:  $\phi(\overline{f}|\overline{e}) = \frac{\mathsf{count}(\overline{f},\overline{e})}{\sum_{\overline{f}} \mathsf{count}(\overline{f},\overline{e})}$
  - or, conversely  $\phi(\overline{e}|\overline{f})$
  - use lexical translation probabilities

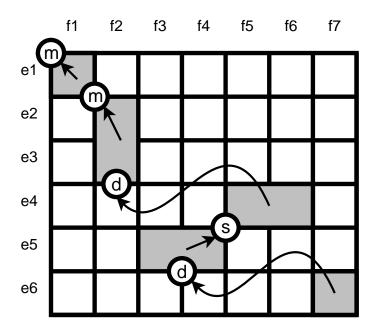


### Reordering

- *Monotone* translation
  - do not allow any reordering
  - → worse translations
- Limiting reordering (to movement over max. number of words) helps
- *Distance-based* reordering cost
  - moving a foreign phrase over n words: cost  $\omega^n$
- Lexicalized reordering model



### Lexicalized reordering models

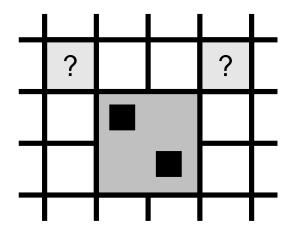


[from Koehn et al., 2005, IWSLT]

- Three orientation types: monotone, swap, discontinuous
- Probability p(swap|e, f) depends on foreign (and English) phrase involved



Learning lexicalized reordering models



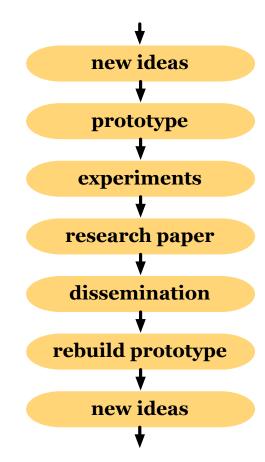
[from Koehn et al., 2005, IWSLT]

- Orientation type is *learned during phrase extractions*
- Alignment point to the top left (monotone) or top right (swap)?
- For more, see [Tillmann, 2003] or [Koehn et al., 2005]

## **Open Source Machine Translation**

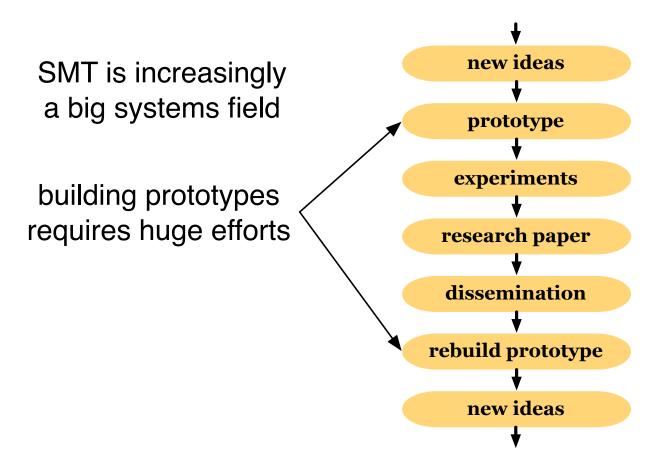


### **Research Process**





#### **Research Process**





### Requirements for Building MT Systems

#### Data resources

- parallel corpora (translated texts)
- monolingual corpora, especially for output language

#### Support tools

- basic *corpus preparation*: tokenization, sentence alignment
- linguistic tools: tagger, parsers, morphology, semantic processing

#### MT tools

- word alignment, training
- decoding (translation engine)
- tuning (optimization)
- re-ranking, incl. posterior methods

#### Who will do MT Research?

- If MT research requires the development of *many resources* 
  - who will be able to do relevant research?
  - who will be able to deploy the technology?
- A few big labs?







• ... or a *broad network* of academic and commercial institutions?





#### MT is diverse

- Many different stakeholders
  - academic researchers
  - commercial developers
  - multi-lingual or trans-lingual content providers
  - end users of online translation services
  - human translation service providers
- Many different language pairs
  - few languages with rich resources: English, Spanish, German, Chinese, ...
  - many second tier languages: Czech, Danish, Greek, ...
  - many under-resourced languages: Gaelic, Basque, ...



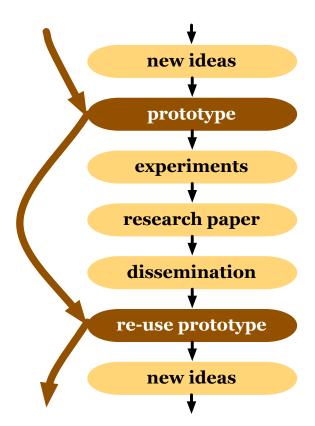


#### **Open Research**

SMT is increasingly a big systems field

building prototypes requires huge efforts

sharing of resources reduces duplication of efforts





## Making Open Research Work

- Non-restrictive licensing
- Active development
  - working high-quality prototype
  - ongoing development
  - open to contributions
- Support and dissemination
  - support by email, web sites, documentation
  - offering tutorials and courses



#### Moses: Open Source Toolkit



- Open source statistical machine translation system (developed from scratch 2006)
  - state-of-the-art *phrase-based* approach
  - novel methods: factored translation models, confusion network decoding
  - support for very large models through memoryefficient data structures
- Documentation, source code, binaries available at http://www.statmt.org/moses/
- Development also supported by
  - EC-funded TC-STAR project
  - US funding agencies DARPA, NSF
  - universities (Edinburgh, Maryland, MIT, ITC-irst, RWTH Aachen, ...)



## Call for Participation: 3rd MT Marathon

- Prague, Czech Republic, January 26-30
- Events
  - winter school (5-day course on MT)
  - research showcase
  - open source showcase: call for papers, due December 2nd
  - open source hands-on projects
- Sponsored by EuroMatrix project free of charge

# **Syntax-based models**



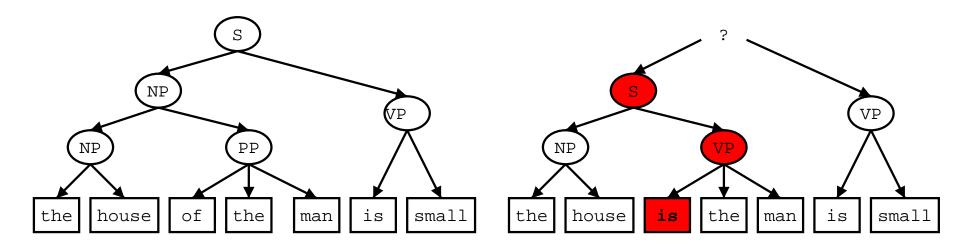
# Advantages of Syntax-Based Translation

- *Reordering* for syntactic reasons
  - e.g., move German object to end of sentence
- Better explanation for *function words* 
  - e.g., prepositions, determiners
- Conditioning to *syntactically related words* 
  - translation of verb may depend on subject or object
- Use of *syntactic language models* 
  - ensuring grammatical output



# Syntactic Language Model

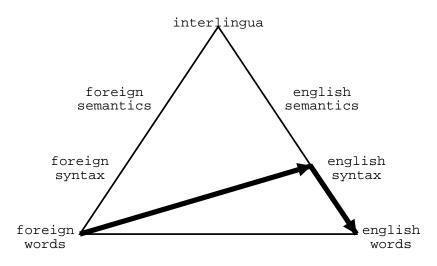
- Good syntax tree → good English
- Allows for *long distance constraints*



Left translation preferred by syntactic LM



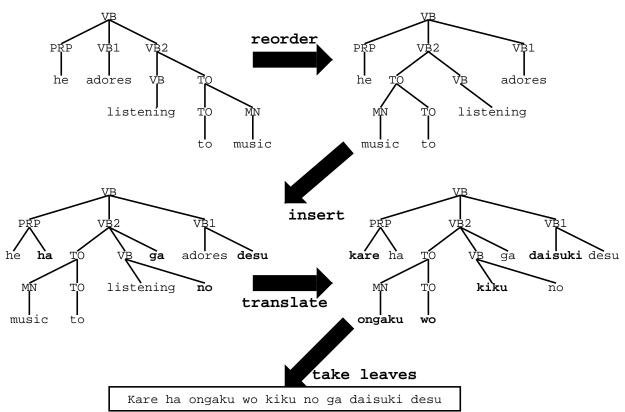
#### **String to Tree Translation**



- Use of English syntax trees [Yamada and Knight, 2001]
  - exploit rich resources on the English side
  - obtained with statistical parser [Collins, 1997]
  - flattened tree to allow more reorderings
  - works well with syntactic language model



# Yamada and Knight [2001]



[from Yamada and Knight, 2001]

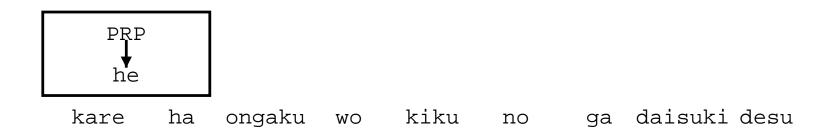


# **Reordering Table**

Original Order	Reordering	p(reorder original)
PRP VB1 VB2	PRP VB1 VB2	0.074
PRP VB1 VB2	PRP VB2 VB1	0.723
PRP VB1 VB2	VB1 PRP VB2	0.061
PRP VB1 VB2	VB1 VB2 PRP	0.037
PRP VB1 VB2	VB2 PRP VB1	0.083
PRP VB1 VB2	VB2 VB1 PRP	0.021
VB TO	VB TO	0.107
VB TO	TO VB	0.893
TO NN	TO NN	0.251
TO NN	NN TO	0.749



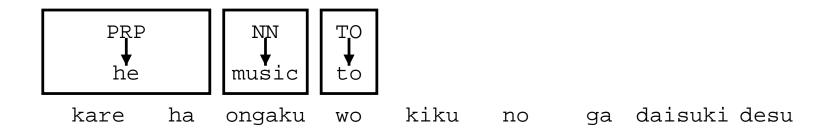
• Chart Parsing



- Pick Japanese words
- Translate into *tree stumps*

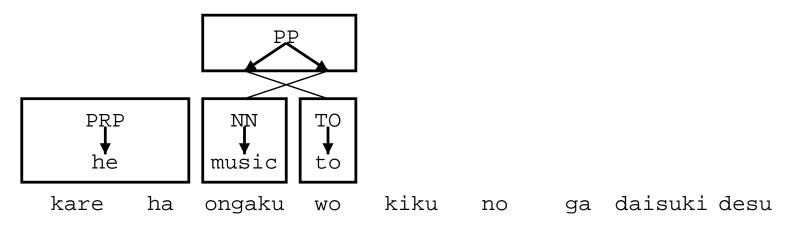


• Chart Parsing



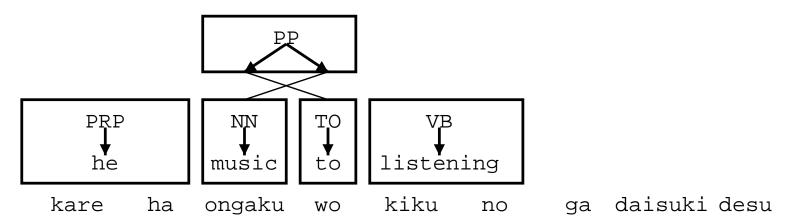
- Pick Japanese words
- Translate into tree stumps





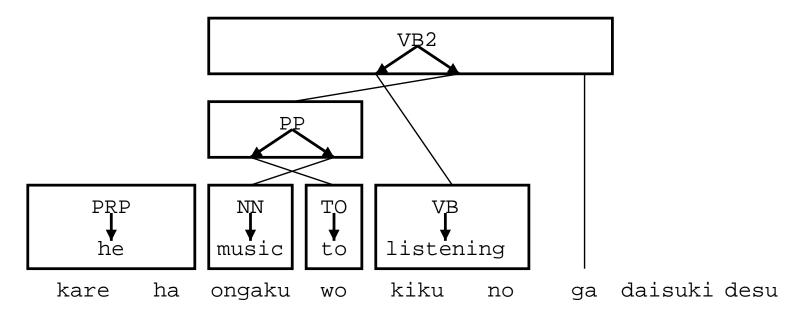
• Adding some *more entries...* 



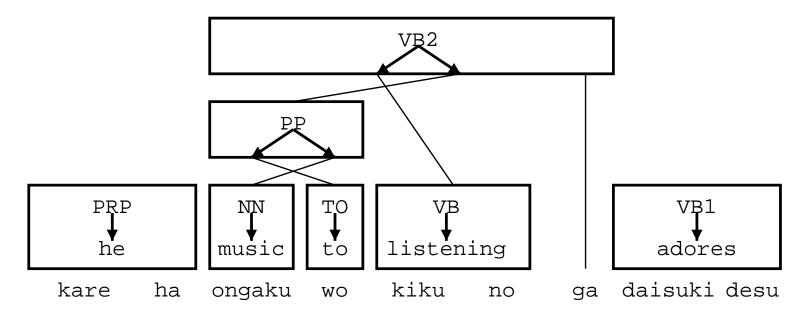


• Combine entries

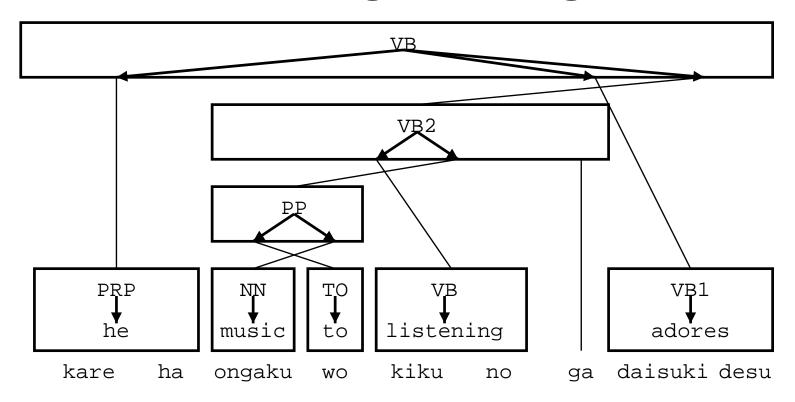












• Finished when all foreign words covered



# Yamada and Knight: Training

- Parsing of the English side
  - using Collins statistical parser
- EM training
  - translation model is used to map training sentence pairs
  - EM training finds low-perplexity model
  - → unity of training and decoding as in IBM models



#### Is the Model Realistic?

- Do English trees match foreign strings?
- Crossings between French-English [Fox, 2002]
  - 0.29-6.27 per sentence, depending on how it is measured
- Can be reduced by
  - flattening tree, as done by [Yamada and Knight, 2001]
  - detecting *phrasal* translation
  - special treatment for small number of constructions
- Most coherence between dependency structures

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# Chiang: Hierarchical Phrase Model

- Chiang [ACL, 2005] (best paper award!)
  - context free bi-grammar
  - one non-terminal symbol
  - right hand side of rule may include non-terminals and terminals
- Competitive with phrase-based models in 2005 DARPA/NIST evaluation

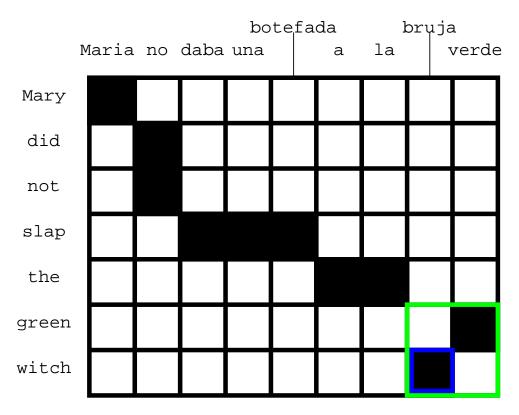


#### **Types of Rules**

- Word translation
  - -X → maison  $\parallel$  house
- *Phrasal* translation
  - X → daba una bofetada | slap
- Mixed non-terminal / terminal
  - X → X bleue  $\parallel$  blue X
  - -X → ne X pas || not X
  - X →  $X1 X2 \parallel X2$  of X1
- Technical rules
  - $-S \rightarrow SX \parallel SX$
  - $-S \rightarrow X \parallel X$



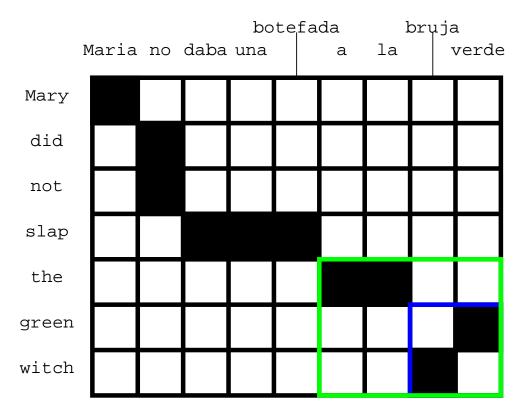
## **Learning Hierarchical Rules**



 $X \to X \text{ verde } \parallel \text{green } X$ 



# **Learning Hierarchical Rules**



 $X \to a$  la  $X \parallel$  the X



## **Details of Chiang's Model**

- Too many rules
  - → *filtering* of rules necessary
- *Efficient* parse decoding possible
  - hypothesis stack for each span of foreign words
  - only one non-terminal → hypotheses comparable
  - length limit for spans that do not start at beginning



# Clause Level Restructuring [Collins et al.

- Why clause structure?
  - languages differ vastly in their clause structure
     (English: SVO, Arabic: VSO, German: fairly free order;
     a lot details differ: position of adverbs, sub clauses, etc.)
  - large-scale restructuring is a *problem* for phrase models

#### Restructuring

- reordering of constituents (main focus)
- add/drop/change of function words
- Details see [Collins, Kucerova and Koehn, ACL 2005]



#### Clause Structure

```
PPER-SB Ich
   VAFIN-HD werde
                    will
  VP-OC
            PPER-DA Ihnen
                           you
                                                                   MAIN
           NP-OA
                    ART-OA die
                                 the
                                                                  CLAUSE
                                             corresponding
                    ADJ-NK entsprechenden
                    NN-NK
                            Anmerkungen
                                         comments
           VVFIN
                   aushaendigen
                                    pass on
            $,
            S-MO
                    KOUS-CP damit
                                   so that
                    PPER-SB Sie
                                  you
                            PDS-OA
                    VP-OC
                                   das
                                         that
                                                                   SUB-
                            ADJD-MO eventuell
                                                 perhaps
                            PP-MO
                                    APRD-MO bei
                                                                 ORDINATE
                                                   in
                                    ART-DA
                                             der
                                                   the
                                                                  CLAUSE
                                             Abstimmung vote
                                    NN-NK
                            VVINF
                                    uebernehmen
                                                   include
                    VMFIN
                           koennen
                                     can
$. .
```

- *Syntax tree* from German parser
  - statistical parser by Amit Dubay, trained on TIGER treebank



## **Reordering When Translating**

```
S
     PPER-SB Ich
                                        Ι
                                        will
     VAFIN-HD werde
     PPER-DA
              Ihnen
                                        you
     NP-OA
              ART-OA
                      die
                                        the
                                         corresponding
              ADJ-NK
                      entsprechenden
              NN-NK
                      Anmerkungen
                                         comments
     VVFIN
              aushaendigen
                                        pass on
S-MO KOUS-CP damit
                                        so that
     PPER-SB
              Sie
     PDS-OA
              das
                                        that
     ADJD-MO
              eventuell
                                        perhaps
     PP-MO
              APRD-MO
                       bei
                                        in
              ART-DA
                        der
                                         the
              NN-NK
                        Abstimmung
                                         vote
                                        include
     VVINF
              uebernehmen
     VMFIN
              koennen
                                        can
$..
```

- Reordering when translating into English
  - tree is *flattened*
  - clause level constituents line up



#### **Clause Level Reordering**

```
PPER-SB
              Ich
                                            will
     VAFIN-HD
              werde
                                            you
     PPER-DA
              Ihnen
                                            the
     NP-OA
              ART-OA
                                             corresponding
              ADJ-NK
                       entsprechenden
                                             comments
              NN-NK
                       Anmerkungen
                                            pass on
     VVFIN
              aushaendigen
$,
                                            so that
S-MO KOUS-CP
               damit
                                            you
     PPER-SB
              Sie
                                            that
     PDS-OA
               das
                                            perhaps
     ADJD-MO
              eventuell
                                            in
     PP-MO
              APRD-MO
                                             the
              ART-DA
                        der
                                             vote
                        Abstimmung
              NN-NK
                                            include
     VVINF
              uebernehmen
                                            can
     VMFIN
              koennen
$. .
```

- Clause level reordering is a well defined task
  - label German constituents with their English order
  - done this for 300 sentences, two annotators, high agreement



# Systematic Reordering German → English

- Many types of reorderings are systematic
  - move verb group together
  - subject verb object
  - move negation in front of verb
- ⇒ Write rules by hand
  - apply rules to test and training data
  - train standard phrase-based SMT system

System	BLEU
baseline system	25.2%
with manual rules	26.8%



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# Other Syntax-Based Approaches

- ISI: extending work of Yamada/Knight
  - more complex rules
  - performance approaching phrase-based
- Prague: Translation via dependency structures
  - parallel Czech–English dependency treebank
  - tecto-grammatical translation model [EACL 2003]
- U.Alberta/Microsoft: treelet translation
  - translating from English into foreign languages
  - using dependency parser in English
  - project dependency tree into foreign language for training
  - map parts of the dependency tree ("treelets") into foreign languages



## Other Syntax-Based Approaches

- Context feature model for rule selection and reordering
  - SVM for rule selection in hierarchical model [Chan et al., 2007]
  - maximum entropy model for reordering [Xiong et al., 2008; He et al., 2008]
- Reranking phrase-based SMT output with syntactic features
  - create n-best list with phrase-based system
  - POS tag and parse candidate translations
  - rerank with syntactic features
  - see [Koehn, 2003] and JHU Workshop [Och et al., 2003]
- JHU Summer workshop 2005
  - Genpar: tool for syntax-based SMT



#### Syntax: Does it help?

#### • Getting there

for some languages competitive with best phrase-based systems

#### Some evidence

- work on reordering German
- ISI: better for Chinese–English
- automatically trained tree transfer systems promising

#### Challenges

- if real syntax, we need good parsers are they good enough?
- syntactic annotations add a level of complexity
- → difficult to handle, slow to train and decode
- few researchers good at statistical modeling and syntactic theories

#### **Factored Translation Models**



#### **Factored Translation Models**

- Motivation
- Example
- Model and Training
- Decoding
- Experiments



# Statistical machine translation today

- Best performing methods based on phrases
  - short sequences of words
  - no use of explicit syntactic information
  - no use of morphological information
  - currently best performing method
- Progress in syntax-based translation
  - tree transfer models using syntactic annotation
  - still shallow representation of words and non-terminals
  - active research, improving performance



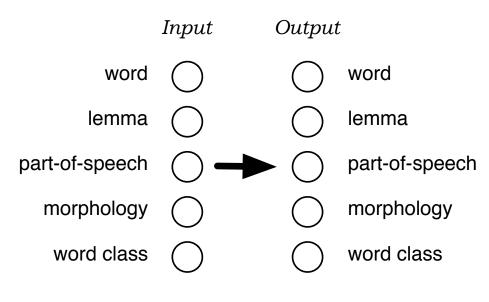
### One motivation: morphology

- Models treat *car* and *cars* as completely different words
  - training occurrences of *car* have no effect on learning translation of *cars*
  - if we only see *car*, we do not know how to translate *cars*
  - rich morphology (German, Arabic, Finnish, Czech, ...)  $\rightarrow$  many word forms
- Better approach
  - analyze surface word forms into lemma and morphology, e.g.: car +plural
  - translate lemma and morphology separately
  - generate target surface form



#### **Factored translation models**

Factored represention of words



- Goals
  - Generalization, e.g. by translating lemmas, not surface forms
  - Richer model, e.g. using syntax for reordering, language modeling)



#### Related work

- Back off to representations with richer statistics (lemma, etc.) [Nießen and Ney, 2001, Yang and Kirchhoff 2006, Talbot and Osborne 2006]
- Use of additional annotation in **pre-processing** (POS, syntax trees, etc.) [Collins et al., 2005, Crego et al, 2006]
- Use of additional annotation in re-ranking (morphological features, POS, syntax trees, etc.)
   [Och et al. 2004, Koehn and Knight, 2005]
- → we pursue an integrated approach
  - Use of syntactic tree structure
     [Wu 1997, Alshawi et al. 1998, Yamada and Knight 2001, Melamed 2004, Menezes and Quirk 2005, Chiang 2005, Galley et al. 2006]
- → may be combined with our approach



#### **Factored Translation Models**

- Motivation
- Example
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- Decoding
- Experiments



### Decomposing translation: example

• Translate lemma and syntactic information separately

lemma	$\neg \Rightarrow \neg$	lemma –	
<u> </u>			



# Decomposing translation: example

• Generate surface form on target side

surface	
<u> </u>	
lemma	
part-of-speech	
morphology	



### Translation process: example

Input: (Autos, Auto, NNS)

- 1. Translation step: lemma  $\Rightarrow$  lemma (?, car, ?), (?, auto, ?)
- 2. Generation step: lemma ⇒ part-of-speech (?, car, NN), (?, car, NNS), (?, auto, NN), (?, auto, NNS)
- 3. Translation step: part-of-speech  $\Rightarrow$  part-of-speech (?, car, NN), (?, car, NNS), (?, auto, NNP), (?, auto, NNS)
- 4. Generation step: lemma,part-of-speech  $\Rightarrow$  surface (car, car, NN), (cars, car, NNS), (auto, auto, NN), (autos, auto, NNS)



#### **Factored Translation Models**

- Motivation
- Example
- Model and Training
- Decoding
- Experiments



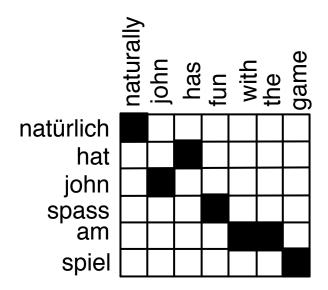
#### Model

- Extension of phrase model
- Mapping of foreign words into English words broken up into steps
  - translation step: maps foreign factors into English factors (on the phrasal level)
  - generation step: maps English factors into English factors (for each word)
- Each step is modeled by one or more *feature functions* 
  - fits nicely into log-linear model
  - weight set by discriminative training method
- Order of mapping steps is chosen to optimize search



### Phrase-based training

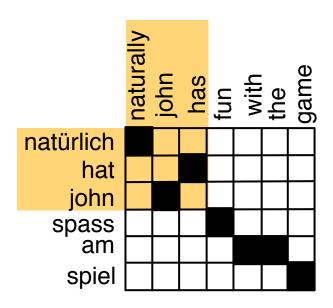
Establish word alignment (GIZA++ and symmetrization)





### Phrase-based training

• Extract phrase

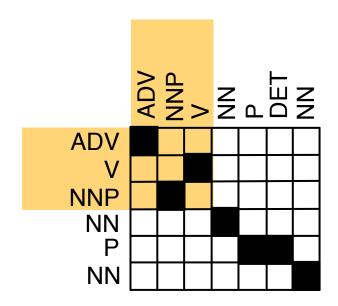


⇒ natürlich hat john — naturally john has



### **Factored training**

Annotate training with factors, extract phrase



 $\Rightarrow$  ADV V NNP — ADV NNP V



#### Training of generation steps

- Generation steps map target factors to target factors
  - typically trained on target side of parallel corpus
  - may be trained on additional monolingual data
- Example: *The*/DET *man*/NN *sleeps*/VBZ
  - count collection
    - count(the,DET)++
    - count(*man*,NN)++
    - count(sleeps, VBZ)++
  - evidence for probability distributions (max. likelihood estimation)
    - p(DET|the), p(the|DET)
    - p(NN|man), p(man|NN)
    - p(VBZ|sleeps), p(sleeps|VBZ)



#### **Factored Translation Models**

- Motivation
- Example
- Model and Training
- Decoding
- Experiments



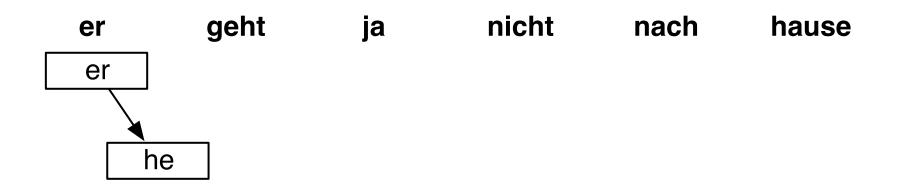
#### Phrase-based translation

• Task: translate this sentence from German into English

er geht ja nicht nach hause



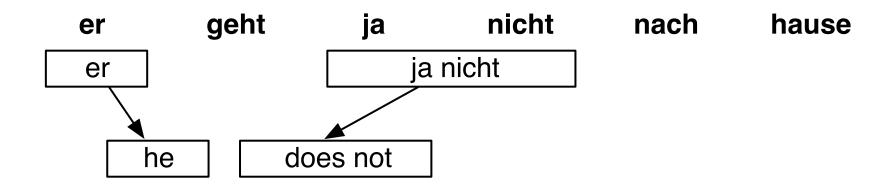
• Task: translate this sentence from German into English



• *Pick* phrase in input, *translate* 



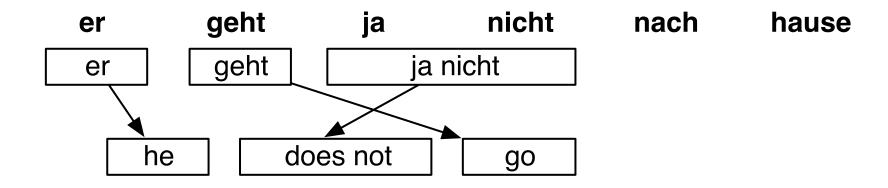
• Task: translate this sentence from German into English



- Pick phrase in input, translate
  - it is allowed to pick words out of sequence (reordering)
  - phrases may have multiple words: many-to-many translation



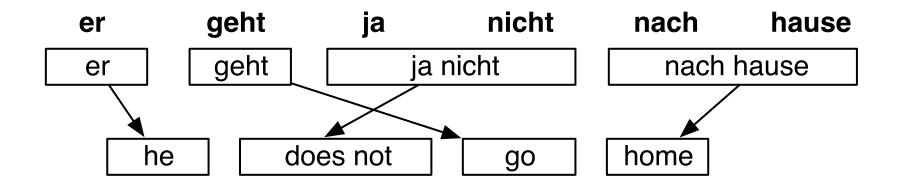
• Task: translate this sentence from German into English



• Pick phrase in input, translate



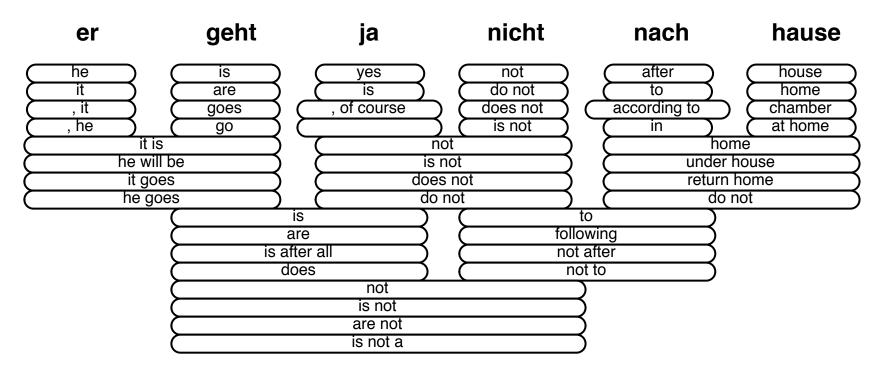
• Task: translate this sentence from German into English



• Pick phrase in input, translate

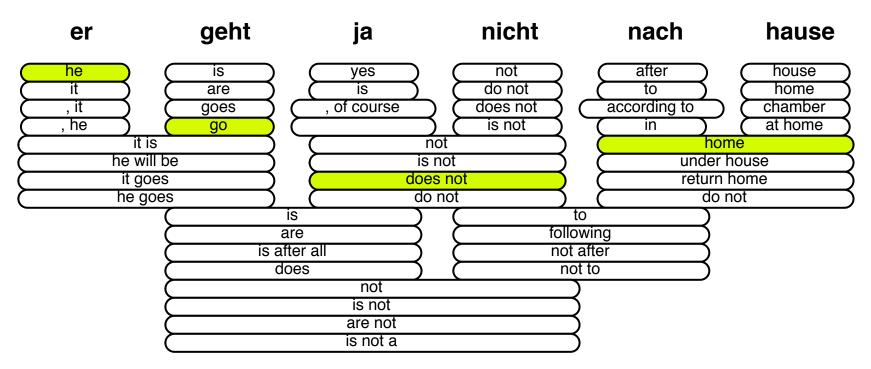


#### **Translation options**



• Many translation options to choose from

#### **Translation options**



- The machine translation decoder does not know the right answer
- → *Search problem* solved by heuristic beam search



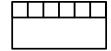
## Decoding process: precompute translation options

<u>er</u>	geht ———	ja	nicht	nach	hause



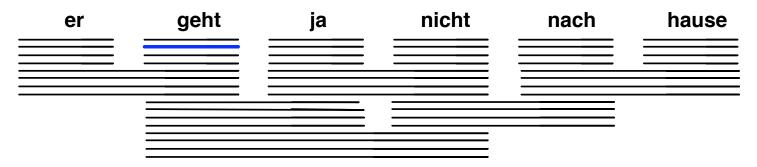
# Decoding process: start with initial hypothesis

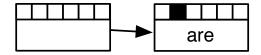
<u>er</u>	geht ———	ja 	nicht	nach	hause





### Decoding process: hypothesis expansion

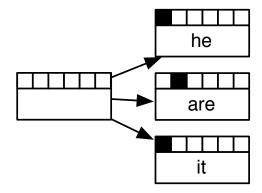






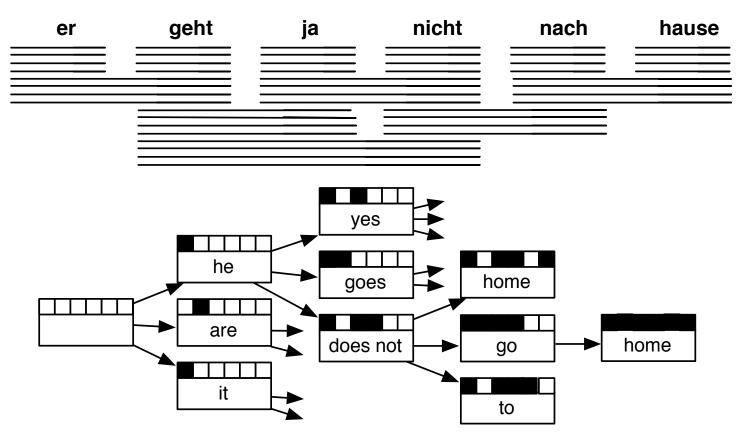
### Decoding process: hypothesis expansion

<u>er</u>	geht	ja	nicht	nach	hause



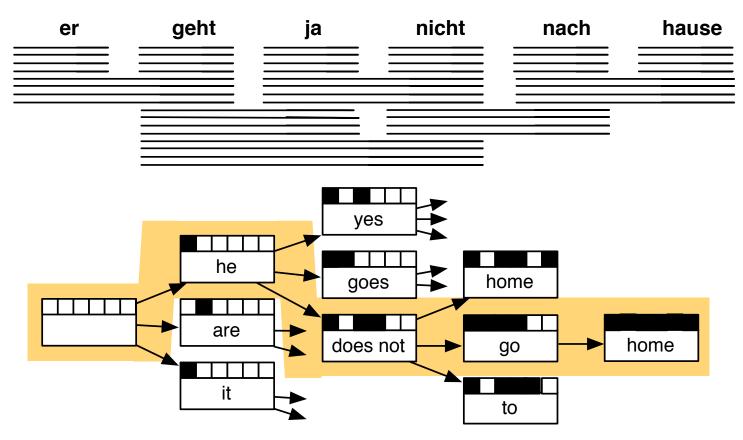


Decoding process: hypothesis expansion











#### **Factored model decoding**

- Factored model decoding introduces additional complexity
- Hypothesis expansion not any more according to simple translation table, but by *executing a number of mapping steps*, e.g.:
  - 1. translating of *lemma*  $\rightarrow$  *lemma*
  - 2. translating of part-of-speech, morphology  $\rightarrow$  part-of-speech, morphology
  - 3. generation of *surface form*
- Example: haus NN neutral plural nominative
  - $\rightarrow$  { houses | house | NN | plural, homes | home | NN | plural, buildings | building | NN | plural, shells | shell | NN | plural }
- Each time, a hypothesis is expanded, these mapping steps have to applied



# Efficient factored model decoding

- Key insight: executing of mapping steps can be pre-computed and stored as translation options
  - apply mapping steps to all input phrases
  - store results as translation options
  - → decoding algorithm unchanged

•••	haus I NN I neutral I plural I nominative	•••
	housesIhouseINNIplural	
	( homeslhomelNNlplural )	
	( buildingslbuildinglNNlplural )	
	shellslshelllNNlplural	



### Efficient factored model decoding

- Problem: *Explosion* of translation options
  - originally limited to 20 per input phrase
  - even with simple model, now 1000s of mapping expansions possible
- Solution: Additional pruning of translation options
  - keep only the best expanded translation options
  - current default 50 per input phrase
  - decoding only about 2-3 times slower than with surface model

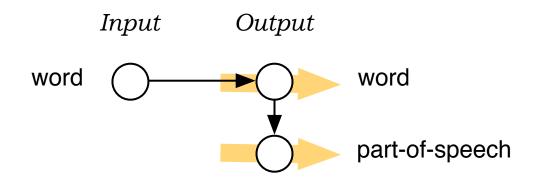


#### **Factored Translation Models**

- Motivation
- Example
- Model and Training
- Decoding
- Experiments
- Outlook



### Adding linguistic markup to output



- Generation of POS tags on the target side
- Use of high order language models over POS (7-gram, 9-gram)
- Motivation: syntactic tags should enforce syntactic sentence structure model not strong enough to support major restructuring



### Some experiments

• English-German, Europarl, 30 million word, test2006

Model	BLEU
best published result	18.15
baseline (surface)	18.04
surface + POS	18.15

• German-English, News Commentary data (WMT 2007), 1 million word

Model	BLEU
Baseline	18.19
With POS LM	19.05

- Improvements under sparse data conditions
- Similar results with CCG supertags [Birch et al., 2007]



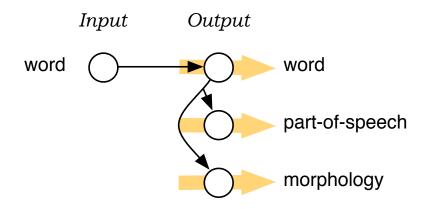
# Sequence models over morphological tags

die	hellen	Sterne	erleuchten	das	schwarze	Himmel
(the)	(bright)	(stars)	(illuminate)	(the)	(black)	(sky)
fem	fem	fem	_	neutral	neutral	male
plural	plural	plural	plural	sgl.	sgl.	sgl
nom.	nom.	nom.	_	acc.	acc.	acc.

- Violation of noun phrase agreement in gender
  - das schwarze and schwarze Himmel are perfectly fine bigrams
  - but: das schwarze Himmel is not
- If relevant n-grams does not occur in the corpus, a lexical n-gram model would fail to detect this mistake
- Morphological sequence model: p(N-male|J-male) > p(N-male|J-neutral)



# Local agreement (esp. within noun phrases



- High order language models over POS and morphology
- Motivation
  - DET-sgl NOUN-sgl good sequence
  - DET-sgl NOUN-plural bad sequence



### Agreement within noun phrases

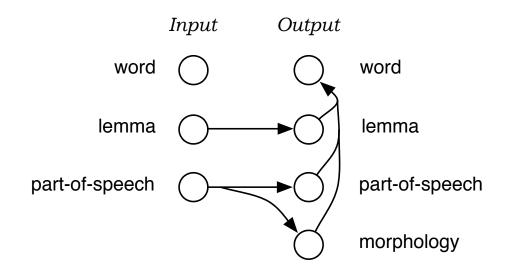
- Experiment: 7-gram POS, morph LM in addition to 3-gram word LM
- Results

Method	Agreement errors in NP	devtest	test
baseline	$15\%$ in NP $\geq 3$ words	18.22 BLEU	18.04 BLEU
factored model	4% in NP $\geq$ 3 words	18.25 BLEU	18.22 BLEU

- Example
  - baseline: ... zur zwischenstaatlichen methoden ...
  - factored model: ... zu zwischenstaatlichen methoden ...
- Example
  - baseline: ... das zweite wichtige änderung ...
  - factored model: ... die zweite wichtige änderung ...



## Morphological generation model



- Our motivating example
- Translating lemma and morphological information more robust



### **Initial results**

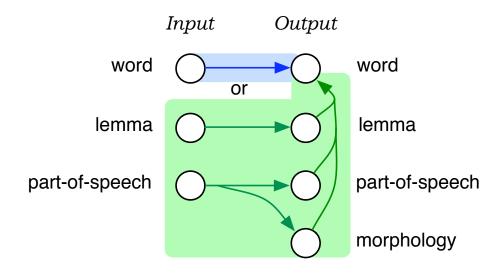
Results on 1 million word News Commentary corpus (German–English)

System	In-doman	Out-of-domain
Baseline	18.19	15.01
With POS LM	19.05	15.03
Morphgen model	14.38	11.65

- What went wrong?
  - why back-off to lemma, when we know how to translate surface forms?
  - $\rightarrow$  loss of information



Solution: alternative decoding paths



- Allow both surface form translation and morphgen model
  - prefer surface model for known words
  - morphgen model acts as back-off



### Results

• Model now beats the baseline:

System	In-doman	Out-of-domain
Baseline	18.19	15.01
With POS LM	19.05	15.03
Morphgen model	14.38	11.65
Both model paths	19.47	15.23

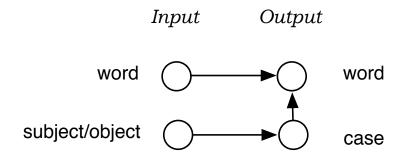


## Adding annotation to the source

- Source words may lack sufficient information to map phrases
  - English-German: what case for noun phrases?
  - Chinese-English: plural or singular
  - pronoun translation: what do they refer to?
- Idea: add additional information to the source that makes the required information available locally (where it is needed)
- see [Avramidis and Koehn, ACL 2008] for details



## Case Information for English-Greek

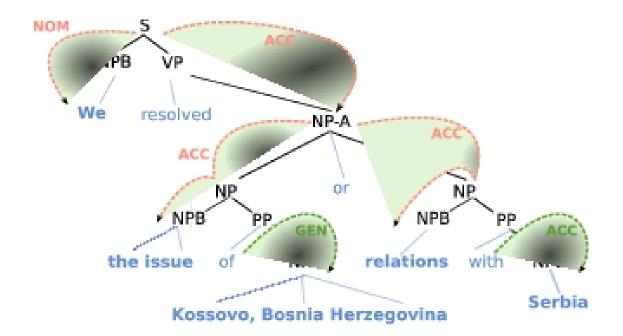


- Detect in English, if noun phrase is subject/object (using parse tree)
- Map information into case morphology of Greek
- Use case morphology to generate correct word form



## **Obtaining Case Information**

 Use syntactic parse of English input (method similar to semantic role labeling)





### Results English-Greek

• Automatic BLEU scores

System	devtest	test07
baseline	18.13	18.05
enriched	18.21	18.20

• Improvement in verb inflection

System	Verb count	Errors	Missing
baseline	311	19.0%	7.4%
enriched	294	5.4%	2.7%

• Improvement in noun phrase inflection

System	NPs	Errors	Missing
baseline	247	8.1%	3.2%
enriched	239	5.0%	5.0%

Also successfully applied to English-Czech

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## **Discriminative Training**



### **Overview**

- Evolution from generative to discriminative models
  - IBM Models: purely generative
  - MERT: discriminative training of generative components
  - More features → better discriminative training needed
- Perceptron algorithm
- Problem: overfitting
- Problem: matching reference translation



## The birth of SMT: generative models

• The definition of translation probability follows a mathematical derivation

$$\operatorname{argmax}_{\mathbf{e}} p(\mathbf{e}|\mathbf{f}) = \operatorname{argmax}_{\mathbf{e}} p(\mathbf{f}|\mathbf{e}) \ p(\mathbf{e})$$

 Occasionally, some independence assumptions are thrown in for instance IBM Model 1: word translations are independent of each other

$$p(\mathbf{e}|\mathbf{f}, a) = \frac{1}{Z} \prod_{i} p(e_i|f_{a(i)})$$

- Generative story leads to straight-forward estimation
  - maximum likelihood estimation of component probability distribution
  - EM algorithm for discovering hidden variables (alignment)



### Log-linear models

 IBM Models provided mathematical justification for factoring components together

$$p_{LM} \times p_{TM} \times p_D$$

These may be weighted

$$p_{LM}^{\lambda_{LM}} \times p_{TM}^{\lambda_{TM}} \times p_D^{\lambda_D}$$

• Many components  $p_i$  with weights  $\lambda_i$ 

$$\prod_{i} p_i^{\lambda_i} = exp(\sum_{i} \lambda_i log(p_i))$$

$$\log \prod_{i} p_i^{\lambda_i} = \sum_{i} \lambda_i \log(p_i)$$



### Knowledge sources

- Many different knowledge sources useful
  - language model
  - reordering (distortion) model
  - phrase translation model
  - word translation model
  - word count
  - phrase count
  - drop word feature
  - phrase pair frequency
  - additional language models
  - additional features

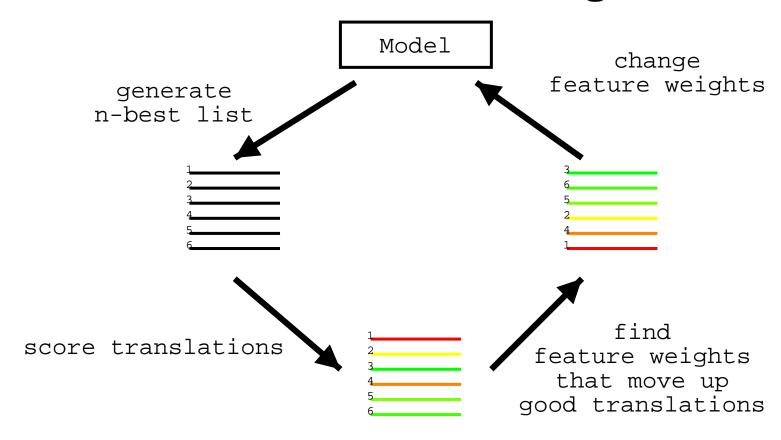


### Set feature weights

- ullet Contribution of components  $p_i$  determined by weight  $\lambda_i$
- Methods
  - manual setting of weights: try a few, take best
  - automate this process
- Learn weights
  - set aside a development corpus
  - set the weights, so that optimal translation performance on this development corpus is achieved
  - requires *automatic scoring* method (e.g., BLEU)



### Discriminative training



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## Discriminative vs. generative models

- Generative models
  - translation process is broken down to steps
  - each step is modeled by a *probability distribution*
  - each probability distribution is estimated from the data by maximum likelihood
- Discriminative models
  - model consist of a number of features (e.g. the language model score)
  - each feature has a weight, measuring its value for judging a translation as correct
  - feature weights are optimized on development data, so that the system output matches correct translations as close as possible



### Discriminative training

- Training set (development set)
  - different from original training set
  - small (maybe 1000 sentences)
  - must be different from test set
- Current model *translates* this development set
  - *n-best list* of translations (n=100, 10000)
  - translations in n-best list can be scored
- Feature weights are *adjusted*
- N-Best list generation and feature weight adjustment repeated for a number of iterations



### **Learning task**

• Task: *find weights*, so that feature vector of the correct translations *ranked first* 

	TRANSLATION	LM	тм	WP		SER
1	Mary not give slap witch green .	-17.2	-5.2	-7		1
2	Mary not slap the witch green .	-16.3	-5.7	-7		1
3	Mary not give slap of the green witch .	-18.1	-4.9	-9		1
4	Mary not give of green witch .	-16.5	-5.1	-8		1
5	Mary did not slap the witch green .	-20.1	-4.7	-8		1
6	Mary did not slap green witch .	-15.5	-3.2	-7		1
7	Mary not slap of the witch green .	-19.2	-5.3	-8		1
8	Mary did not give slap of witch green .	-23.2	-5.0	-9		1
9	Mary did not give slap of the green witch .	-21.8	-4.4	-10		1
10	Mary did slap the witch green .	-15.5	-6.9	-7		1
11	Mary did not slap the green witch .	-17.4	-5.3	-8		0
12	Mary did slap witch green .	-16.9	-6.9	-6	П	1
13	Mary did slap the green witch .	-14.3	-7.1	-7		1
14	Mary did not slap the of green witch .	-24.2	-5.3	-9		1
15	Mary did not give slap the witch green .	-25.2	-5.5	-9		1
rank	translation	featur	e vec	tor		



# Och's minimum error rate training (MERT)

• Line search for best feature weights

```
given: sentences with n-best list of
translations
iterate n times
    randomize starting feature weights
    iterate until convergences
        for each feature
        find best feature weight
        update if different from current
return best feature weights found in any
iteration
```

200 ON BUILDING

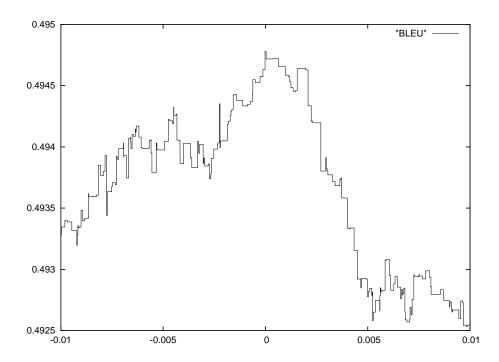
### Methods to adjust feature weights

- Maximum entropy [Och and Ney, ACL2002]
  - match expectation of feature values of model and data
- Minimum error rate training [Och, ACL2003]
  - try to rank best translations first in n-best list
  - can be adapted for various error metrics, even BLEU
- Ordinal regression [Shen et al., NAACL2004]
  - separate k worst from the k best translations



### **BLEU** error surface

• Varying one parameter: a rugged line with many local optima





## Unstable outcomes: weights vary

component	run 1	run 2	run 3	run 4	run 5	run 6
distance	0.059531	0.071025	0.069061	0.120828	0.120828	0.072891
lexdist 1	0.093565	0.044724	0.097312	0.108922	0.108922	0.062848
lexdist 2	0.021165	0.008882	0.008607	0.013950	0.013950	0.030890
lexdist 3	0.083298	0.049741	0.024822	-0.000598	-0.000598	0.023018
lexdist 4	0.051842	0.108107	0.090298	0.111243	0.111243	0.047508
lexdist 5	0.043290	0.047801	0.020211	0.028672	0.028672	0.050748
lexdist 6	0.083848	0.056161	0.103767	0.032869	0.032869	0.050240
lm 1	0.042750	0.056124	0.052090	0.049561	0.049561	0.059518
lm 2	0.019881	0.012075	0.022896	0.035769	0.035769	0.026414
lm 3	0.059497	0.054580	0.044363	0.048321	0.048321	0.056282
ttable 1	0.052111	0.045096	0.046655	0.054519	0.054519	0.046538
ttable 1	0.052888	0.036831	0.040820	0.058003	0.058003	0.066308
ttable 1	0.042151	0.066256	0.043265	0.047271	0.047271	0.052853
ttable 1	0.034067	0.031048	0.050794	0.037589	0.037589	0.031939
phrase-pen.	0.059151	0.062019	-0.037950	0.023414	0.023414	-0.069425
word-pen	-0.200963	-0.249531	-0.247089	-0.228469	-0.228469	-0.252579



### Unstable outcomes: scores vary

• Even different scores with different runs (varying 0.40 on dev, 0.89 on test)

run	iterations	dev score	test score
1	8	50.16	51.99
2	9	50.26	51.78
3	8	50.13	51.59
4	12	50.10	51.20
5	10	50.16	51.43
6	11	50.02	51.66
7	10	50.25	51.10
8	11	50.21	51.32
9	10	50.42	51.79

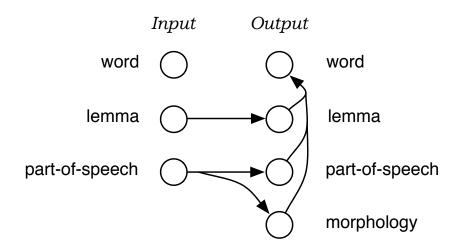


## More features: more components

- We would like to add more components to our model
  - multiple language models
  - domain adaptation features
  - various special handling features
  - using linguistic information
- → MERT becomes even less reliable
  - runs many more iterations
  - fails more frequently



#### More features: factored models



- Factored translation models break up phrase mapping into smaller steps
  - multiple translation tables
  - multiple generation tables
  - multiple language models and sequence models on factors
- **→ Many more features**



### Millions of features

- Why mix of discriminative training and generative models?
- Discriminative training of all components
  - phrase table [Liang et al., 2006]
  - language model [Roark et al, 2004]
  - additional features
- Large-scale discriminative training
  - millions of features
  - training of full training set, not just a small development corpus



### Perceptron algorithm

- Translate each sentence
- If no match with reference translation: update features



### **Problem: overfitting**

- Fundamental problem in machine learning
  - what works best for training data, may not work well in general
  - rare, unrepresentative features may get too much weight
- Especially severe problem in phrase-based models
  - long phrase pairs explain well individual sentences
  - ... but are less general, suspect to noise
  - EM training of phrase models [Marcu and Wong, 2002] has same problem



### **Solutions**

- Restrict to short phrases, e.g., maximum 3 words (current approach)
  - limits the power of phrase-based models
  - ... but not very much [Koehn et al, 2003]

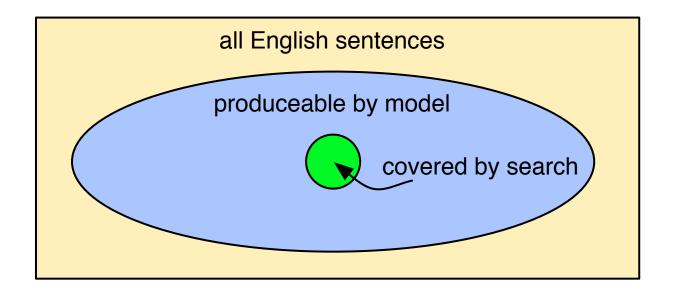
#### Jackknife

- collect phrase pairs from one part of corpus
- optimize their feature weights on another part
- IBM direct model: **only one-to-many** phrases [Ittycheriah and Salim Roukos, 2007]



### **Problem:** reference translation

• Reference translation may be anywhere in this box



- ullet If produceable by model o we can compute feature scores
- If not  $\rightarrow$  we can not



#### Some solutions

- Skip sentences, for which reference can not be produced
  - invalidates large amounts of training data
  - biases model to shorter sentences
- Declare candidate translations closest to reference as surrogate
  - closeness measured for instance by smoothed BLEU score
  - may be not a very good translation: odd feature values, training is severely distorted



### Better solution: early updating?

- At some point the reference translation falls out of the search space
  - for instance, due to unknown words:

Reference: The group attended the meeting in Najaf ...

System: The group meeting was attended in UNKNOWN ...

only update features involved in this part

- Early updating [Collins et al., 2005]:
  - stop search, when reference translation is not covered by model
  - only update features involved in partial reference / system output



### **Conclusions**

- Currently have proof-of-concept implementation
- Future work: Overcome various technical challenges
  - reference translation may not be produceable
  - overfitting
  - mix of binary and real-valued features
  - scaling up
- More and more features are unavoidable, let's deal with them